
SCHOOLS FORUM

Meeting to be held from **17:00** on **Wednesday 12 December 2018**

Venue: Chace Community School, Churchbury Lane, Enfield, EN1 3HQ

(NOTE: Sangeeta Brown, Resources Development Manager - 07956 539613)

Schools Members:

Governors: Ms Ellerby (Primary), Ms H Kacouris (Primary), Mrs J Leach (Special), Mrs L Sless (Primary), *Vacancy* (Secondary), *Vacancy* (Primary)

Headteachers: Ms H Ballantine (Primary), Mr D Bruton (Secondary), Ms H Knightley (Primary), Ms K Baptiste (Primary), Ms G Weir (Special), Ms M O'Keefe / Ms T Day (Secondary), Ms C Fay (Pupil Referral Unit),

Academies: Ms A Nicou, Mr Sadgrove, Ms H Thomas (Chair), *Vacancy* (x2)

Non-Schools Members:

16 - 19 Partnership

Mr K Hintz

Early Years Provider

Ms A Palmer

Teachers' Committee

Mr J Jacobs

Education Professional

Ms D Weston

Head of Admissions

Ms J Fear

Overview and Scrutiny Committee

Cllr D Levy

Observers:

Cabinet Member

Cllr A Georgiou

School Business Manager

Ms S Mahesh

Education Funding Agency

Mr Owen

MEMBERS ARE INVITED TO ARRIVE AT 16:45

WHEN SANDWICHES WILL BE PROVIDED

ENABLING A PROMPT START AT 17:30

AGENDA

(Target time)

(17:30)

1. APOLOGIES FOR ABSENCE AND MEMBERSHIP

Note:

- a) Apologies for absence have been received from Mrs Leach, Ms Knightley and Cllr Levy.
- b) Nominations are being sought for the other vacancies.

2. DECLARATION OF INTEREST

Members are invited to identify any personal or prejudicial interests relevant to items on the agenda. A definition of personal and prejudicial interests has been attached for members' information.

(17:45)

3. MINUTES AND MATTERS ARISING FROM THE MINUTES (Pages 1 - 6)

- (a) School Forum meetings held on 3 October 2018 (*attached*)
- (b) Matters arising from these minutes.

(17:50)

4. ITEMS FOR DISCUSSION AND/OR DECISION (Pages 7 - 42)

- (a) Schools Budget - 2018/19 Monitoring Update (*attached*)
- (b) School Funding Arrangement – Outcomes from Consultation (*attached*)
- (c) Central Schools Services Block & De-delegation of Services for 2019/20 (*attached*)
- (d) Schools Budget: 2019/20 – Update (*attached*)

(18:50)

5. ITEM FOR INFORMATION (Pages 43 - 60)

- (a) Outcome from the consultation to transfer from termly to monthly payments for early education funded places (*attached*)

(18:55)

6. WORKPLAN (Pages 61 - 62)

(attached)

7. ANY OTHER BUSINESS

8. FUTURE MEETINGS

- (a) Date of next meeting is Wednesday 12 December 2018 at 5.30pm at Chace Community School;
- (b) Dates of future meetings:
 - 16 January 2019 at Waverley School;
 - 6 March 2019
 - 15 May 2019 (Provisional)

9. CONFIDENTIALITY

To consider which items should be treated as confidential.

Schools Forum Membership List

Name		Sector	Organisation	Member / Sub Since	End of Term
Vacant	G	P			
Ms J Ellerby	G	P	Eldon	Autumn 2015	Summer 2019
Ms H Kacouris	G	P	West Grove	Autumn 2017	Autumn 2021
Mrs J Leach	G	Sp	Waverley	Autumn 2015	Summer 2019
Mrs L Sless	G	P	Galliard	Autumn 2015	Summer 2019
Vacant	G	S			
Ms C Fay	H	PRU	Orchardside	Required	
Ms H Ballantine	H	P	George Spicer	Autumn 2015	Summer 2019
Ms H Knightley	H	P	St Johns & St James	Autumn 2015	Summer 2019
Ms K Baptiste	H	P	St Monica's	Autumn 2017	Summer 2021
Mr D Bruton	H	S	Chace Community	Summer 2016	Spring 2020
Ms G Weir	H	Sp	Waverley	Summer 2017	Spring 2021
Ms T Day / Ms M O'Keefe	H	S	Bishop Stopford's St Ignatius	Autumn 2017	Summer 2021
Vacant		A		Nominated	
Ms A Nicou	H	A	Enfield Learning Trust	Autumn 2015	Summer 2019
Mr P Sadgrove	H	A	One Degree	Summer 2017	Spring 2021
Ms H Thomas	H	P	Alma	Autumn 2018	Summer 2022
Ms A Palmer		EY	Right Start Montessori	Autumn 2017	Summer 2021
Mr K Hintz		P16	CONEL	Autumn 2015	Summer 2019
Mr J Jacobs		All	National Education Union	Summer 2017	Spring 2021
Ms J Fear		All	Local Authority	By Appointment	
Ms D Weston		All	Local Authority	By Appointment	
Cllr D Levy		All	Chair of Overview & Scrutiny	By Appointment	
Cllr Georgiou	O	All	Cabinet Member	By Appointment	
Ms S Mahesh	O	All	School Business Manager	Nominated	
Mr O Jenkins	O	All	EFA	By Appointment	

Key

G – Governor
H – Headteacher
O - Observer
P – Primary
S – Secondary
Sp – Special
Ac – Academy
EY – Early Years
P16 – Post 16

MINUTES OF THE SCHOOLS FORUM MEETING**Held on Wednesday 3 October 2018 at Waverley School****Schools Members:**

Governors: *Ms Ellerby* (Primary), Ms H Kacouris (Primary), Mrs J Leach (Special), *Mrs L Sless* (Primary), Vacant (*Secondary*), Vacant (Primary – Either Headteacher or Governor)

Headteachers: Ms H Ballantine (Primary), *Mr D Bruton* (Secondary), Ms C Fay (Orchard Side), *Ms H Knightley* (Primary), Ms K Baptiste (Primary), Ms G Weir (Special), Ms M O'Keefe / Ms T Day (*Secondary*)

Academies: Ms H Thomas (Chair), Ms A Nicou (Primary), *Mr A Sadgrove* (*All through*), Vacancies x 2

Non-Schools Members:

Early Years Provider	<i>Ms A Palmer</i>
16 - 19 Partnership	Mr K Hintz
Teachers' Committee	<i>Mr J Jacobs</i>
Head of Early Years	Ms D Weston
Education Professional	Ms J Fear
Chair of Overview and Scrutiny Committee	<i>Cllr D Levy</i>

Observers:

Cabinet Member	Cllr Georgiou
School Business Manager	<i>Ms S Mahesh</i>
Education Funding Agency	<i>Mr O Jenkins</i>

Also attending:

Acting Director, Education	Ms C Seery
Resources Development Manager	Mrs S Brown

* Italics denote absence

1. MEMBERSHIP AND APOLOGIES FOR ABSENCE**a) Apologies for Absence**

Apologies for absence were received from Mrs Ellerby, Ms Sless, Mr Bruton, Mr Sadgrove, Cllr Levy, Mr Jacobs, Ms Palmer and Ms Mahesh.

Noted the absence of Ms Knightley.

b) Membership**Noted:**

- i. The Forum was advised following a serious illness, Mr McGee had passed away during the summer.

Ms Seery informed the Forum that Mr McGee had been a long serving governor. During his time as a governor, Mr McGee had been a member of Governing Bodies at several schools across the borough and, as well as the Schools Forum, had served on a number of other boroughwide groups and Forum.

The Forum members were saddened to hear the news and asked for their condolences and best wishes to be extended to Mr McGee's family.

- ii. With the conversion of Alma Primary School to an academy, Ms Thomas had agreed to move her representation on the Forum from a maintained school to an academy representative. This now meant there were two vacancies for academy representatives on the Forum. The Forum was advised that:
 - one of the vacancy should not be filled. This was because Ms Sless's School was in the process of converting to an academy, therefore she may want to transfer to become an academy representative and if she doesn't then she should continue until the end of her term of office (Summer 2019);
 - a representative would be sought for the other vacancy. In response to a question, it was commented that academy representative could be from either the primary or

secondary sector, but based on the current makeup of the Forum, it would be better if a representative from the secondary sector filled this position.

- iii. Following the resignation of Ms West and the position held by Mr McGee, there was now a vacancy for a primary representative and a secondary governor representative.

It was explained with even number of primary Headteachers and Governors, the primary vacancy could be filled by either a Headteacher or a Governor. Ms Thomas suggested that it would be useful if the vacancy could be filled by Mr Josh Newham because Mr Newham had just joined the Education Resources Group and if he were a member of both groups, it would ensure consistency.

RESOLVED:

- i. Ms Thomas would ask Mr Newham whether he would like to join the Forum as primary representative;
Action: Ms Thomas
- ii. Mrs Brown would seek nominations for the academy and secondary maintained governor vacancies.
Action: Mrs Brown

2. DECLARATIONS OF INTEREST

There were no declarations of interest.

3. MINUTES OF THE LAST MEETING & MATTERS ARISING

a) **Minutes of the last Meeting**

Received and agreed the minutes of the meeting of the Schools Forum held 7 March 2018.

Clerk's note: Cllr Georgiou and Ms O'Keefe arrived at this point.

4. ITEM FOR DISCUSSION AND/OR DECISION

a) **Schools Budget - 2018/19 Monitoring Update**

Received a report detailing the final year-end position for the Dedicated Schools Grant (DSG) outturn position for 2017/18 and a forecast, as at July 2018, for the DSG spend for 2018/19 against the latest DSG allocation advised by the ESFA; a copy is included in the Minute Book.

Reported, in July 2018, the ESFA had adjusted the DSG for 2018/19 to reflect low take up of the early years provision. This adjustment had resulted in a clawback of £743k and leading to an increase in the deficit for 2017/18. The final deficit carried forward from 2017/18 into 2018/19 was £1.488m.

Noted the latest forecast for this year (2018/19) was indicating an increase in the projected overspend of £0.138m because of increased demand for high needs support. The forecast for the year-end overspend was £1.626m.

Resolved to note the update.

b) **Dedicated Schools Grant 2018/19: Analysis**

Received a report comparing the allocation of the DSG and for the Enfield's Funding Formula (EFF) with Enfield's Statistical Neighbours, Outer London authorities and nationally; a copy is included in the Minute Book.

Reported the paper was intended to support the wider discussion on the budget decisions that were taken to inform the DSG allocation for 2018/19 and how the EFF compared with the formulae used by Enfield's Statistical Neighbours, Outer London authorities and

nationally. The aim was to use this information to support the discussion and decisions required for the DSG allocation for 2019/20.

Noted:

- i. The DSG analysis was indicating a drop in the funding allocated for two year olds. The change could be to do with a decrease in numbers of two year olds or parents choosing not to take up the provision.

It was commented that these may be some of the reasons, but another one was that providers choosing to either withdraw or reduce their offer because the high staffing ratio was making it financial unviable. Yet, in terms of narrowing the gap, evidence indicated that children who had attended two year old provision had improved outcomes and achieved better than their peers.

- ii. There was some general discussion on the data presented and it was noted the local authorities would have moved to fully National Funding Formula (NFF) for different reasons and these could be being a gaining authority, had local unit rates not close to the NFF rates or deciding to use the minimum funding guarantee to dampen the effect of the move to NFF.

Resolved to note the contents of the report.

c) School Funding Arrangements – 2019/20

Received a report summarising the latest DfE guidance on arrangements for the DSG: Schools and High Needs blocks, for 2019/20 and an update on options for the EFF; a copy is included in the Minute Book.

Reported that the options detailed in the report had been developed with the Education Resources Group. The view of the Education Resources Group had been that the local arrangements should support vulnerable children and young people and so the focus of the change should be to support Looked After Children (LAC), pupils with English as an additional language, with low prior attainment, from deprived background or high level of SEND:

Noted:

- (i) There was significant confusion about the Teachers' Pay Grant and the application of the minimum and maximum pay grade. It was noted to support retention and recruitment; most schools were considering applying the same pay award for all staff.
A Forum member commented if the award was implemented as proposed by the Governments, then, for some schools, this would result in teachers on the threshold being paid more than Assistant Headteachers. Schools were concerned that the loss of this differential would then create further retention / recruitment difficulties to the Assistant Headteacher posts.
The Forum was informed that the School Teachers' Review Board (STRB) had visited Enfield and met with Headteachers and staff in some schools and LA officers. During their visit, the STRB members had confirmed they had recommended a 3.5% pay award for all teaching staff, but this had not been implemented by the Government.
Officers advised that Enfield schools had maintained the local grade structure, and this was not recognised by the grant funding being provided to support the pay award. It was noted that there may possibly be some local authorities with grade structure that can be supported by the grant provided. To understand the full impact of the pay award, the Authority would be asking schools to share information on how they have implemented the pay award and whether the grant funding fully covered the additional cost being incurred.
- (ii) The funding factor for Looked After Children (LAC) had been removed from the NFF and following discussion with the Education Resources Group, the proposal was to transfer the funding currently used for this factor from the Schools Block to the High Need block to provide targeted support for LAC.

The Forum members noted that if the amount currently being spent was added to the per pupil funding, then schools with no LAC would also benefit from this funding. The move to provide targeted support would ensure these vulnerable children and young people continued to receive additional support.

Following the discussion that ensued, officers stated that no criteria had yet been agreed for allocating this funding and the Forums views were that:

- the process for accessing the funding should be quick and simple;
- the funding should follow the pupil and be allocated to the Enfield school being attended;
- the money should be for something extra that added value.

(iii) The draft proposals for the EFF had been revised to include the views of the Education Resources Group.

The following points arose from the discussion of the proposed changes to the EFF:

- The move to anonymise the modelling was suggested by the Education Resources Group to ensure that the decision on the final proposals was a strategic decision that considered what was best for all Enfield school;
- The NFF for Enfield could be divisive because of the shift of funding from primary to secondary;
- Both the models would see schools receive less money, but Model D would enable a slower move towards NFF with schools forecasted to receive less money under NFF to be able to have longer to manage the change in funding;

- It was recognised that the NFF would support secondary schools, but secondary schools were also facing difficulties because of the cumulative financial pressure that they have had to manage over the last few years. The additional funding was unlikely to address this historic shortfall and meet the cost of new pressures;

The Forum noted that the shift in funding would not meet the funding requirements for secondary schools, but there was a concern the reduction in funding for primary could over the longer-term lead to drop standards for both sectors;

- The models were indicating when NFF was fully implemented some schools could face up to an 11% reduction in funding. Whilst individual schools had been aware of the impact of NFF for their schools, Members felt that arrangements should be put in place to support and work with the schools facing a loss in funding.

Clerk's note: Ms Ballantyne and Ms Weston left at this point.

- (iv) Due to the limitation of the criteria, Members indicated that introducing a falling roll would not fairly support all schools experiencing a decrease in their pupil numbers.
- (v) The transfer of 0.5% from the Schools block to high needs block to support inclusive schools with above average number of pupils with high level of SEND met the local requirement of supporting the most vulnerable pupils.
- (vi) The Forum was advised that any exemptions applied for individual schools from the EFF or movement of funds from the Schools to the High Needs block required the submission of a disapplication request to the Secretary of State for approval before it could be implemented. Due to the deadline set by the ESFA, the Forum was being asked, subject to consultation and final approval, to give agreement to disapplication requests being submitted for:
 - The LAC funding currently used in the EFF to be transferred to the High Needs block to provide targeted support;
 - Transfer of 0.5% from the Schools block to the High Needs block to support inclusive schools with above average number of pupils with high level of SEND;
 - The primary per pupil funding for secondary schools becoming all through schools.

- (vii) The Forum members supported the proposal for retaining the Early Years Inclusion Fund to support vulnerable young children.

Resolved to

- support and include the following proposal in the school funding consultation:
 - The transfer of the current funding used for the LAC factor in the EFF to the High Needs block to provide targeted support;
 - The proposals and modelling information for the EFF;
 - Transfer of 0.5% from the Schools to the High Needs block to support inclusive schools with above average number of pupils with high level of SEND;
 - The Early Years Inclusion Fund to support pupils with SEND.
- Agree in principle to the submission of disapplication requests for:
 - LAC funding currently used in the EFF to be transferred to the High Needs block to provide targeted support;
 - Transfer of 0.5% from the Schools block to the High Needs block to support inclusive schools with above average number of pupils with high level of SEND;
 - Primary per pupil funding for secondary schools becoming all through schools

5. ITEM FOR INFORMATION

Internal Audit – Maintained Schools Annual Summary – 2017/18

Received a report summarising the findings from a review carried out of governance and financial management in eighteen maintained schools across the borough: a copy is included in the Minute Book.

Noted the findings highlighted the current practice in some schools. The Forum noted the report and considered it was important for Headteachers and Governing Bodies to discuss and consider some of the bad practice to ensure it was not pertaining to their school.

Resolved to note the findings from the audit review.

6. WORKPLAN

Any additional items arising from the meeting would be added to the workplan.

Action: Mrs Brown

7. FUTURE MEETINGS

- a) The date of the next meeting was set as Wednesday 12 December 2018 at 17:30 at Chace Community School.
- b) Dates for future meetings:

Dates	Time	Venue
16 January 2019	17:30 - 19:30	Waverley
06 March 2019	17:30 - 19:30	Waverley
15 May 2018 (Provisional)	17:30 - 19:30	

8. CONFIDENTIALITY

No items were considered confidential.

The meeting closed at 7.35pm.

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DSG Budget Monitor 2018-19	July Monitor SF Oct 18	Aug Monitor	Sept Monitor	Oct Monitor SF Dec 18
Opening Position 2018/19	£000	£000	£000	£000
2017/18 DSG Deficit	745	745	745	745
Early Years Clawback 1718	743	743	743	743
DSG Deficit 1/4/2017	1,488	1,488	1,488	1,488
2018/19 Variance	£000	£000	£000	£000
SCHOOLS BLOCK				
Growth Fund - lower demand than estimate	-120	-120	-120	-300
Rates - reduction in rates liability due to Acade	-194	-239	-239	-239
Total Schools Block Variance	-314	-359	-359	-539
EARLY YEARS BLOCK				
2 Year Olds	0	0	0	0
3&4 Year Olds	0	0	0	0
30 Hours	0	0	0	0
Centrally Held	0	0	0	0
Total Early Years Block Variance	0	0	0	0
HIGH NEEDS BLOCK				
Variation in DSG Funding & Resources				
Import/Export Adj		114	114	114
FE Colleges - reduction in HNB		416	416	416
Recoupment Reserve (historical)		-90	-90	-90
		440	440	440
Outborough Provision				
Independent Day Placements	0	-473	-449	-396
Independent Residential Placements	0	-0	-75	-69
Other LA Special Schools	0	394	313	285
Other LA Mainstream Support	0	-37	-39	-49
Therapies	0	0	0	0
Post 16 High Needs	0	0	270	469
In Borough Provision				
Exceptional Needs - Sum actual, Aut & Spr estim	600	600	600	600
Durants - support for complex cases	150	150	150	150
St Marys Unit - delay in start date	-300	-300	-300	-300
Behaviour Support	0	5	5	0
Nurture Groups	0	0	0	17
Primary ARPs	0	-221	-221	-221
Secondary ARPs	0	-90	-90	-90
Home & Hospital	0	0	0	0
Total High Needs Block Variance	450	28	164	361
ESTIMATED OVERSPEND 2018/19	136	109	245	262
Cumulative Deficit b/f	1,488	1,488	1,488	1,488
Estimated DSG Monitoring Position 2018/19	1,624	1,597	1,733	1,749

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MUNICIPAL YEAR 2018/2019 REPORT NO. 15

MEETING TITLE AND DATE:

Education Resources Group 04 December 2018
Schools Forum 12 December 2018

REPORT OF:

Director of Finance, Resources & Customer Services

Contact officer and telephone number:

Louise McNamara 020 8379 4720
E mail: louise.mcnamara@enfield.gov.uk

Agenda –

Item: 4a

**Subject: Schools Budget -
2018/19 Monitoring Update**

1. EXECUTIVE SUMMARY

This report provides an update of the final DSG Outturn position for 2017/18 and details of the DSG budget monitoring position for 2018/19. The monitoring update includes confirmation of the latest DSG allocation from the EFA (July18) adjusted for the latest academies recoupment position (September18).

2. RECOMMENDATIONS

To note the contents of the report.

3. ACCUMULATED DSG CARRIED FORWARD

- 3.1 The DSG Outturn position for 2017/18, as at 31st March 2018, was reported at the last meeting. At this stage it was highlighted that an adjustment would be made in 2018/19, in respect of 2017/18, to adjust the DSG allocation for the Early Years Block to reflect January 2018 pupil data. This Early Years funding clawback for 2017/18 was confirmed by the EFA in July 2018 and was lower than the estimated £1m. Table 1 details the final DSG carry forward position.

Table 1 – Accumulated DSG Carry Forward 2017/18

	£'000s	£'000s
Adjusted Balance b/f 1 April 2017		(3.360)
<i>Top Slice from 2017/18 Allocation</i>	1.457	
<i>High Needs Contingency – used to offset deficit</i>	1.650	
Total Contribution towards Deficit 17/18		3.107
Net Deficit bought forward 1 April 2017		(253)
2017/18 overspend		(492)
Total 2017/18 DSG Carry Forward (as at 31.03.18)		(745)
2017/18 Early Years Clawback (Jan18 census)		(743)
Total 2017/18 DSG Carry Forward FINAL		(1,488)

4. 2018/19 DSG ALLOCATION

- 4.1 The original estimate of gross DSG resources for 2018/19 amounted to £331.540m. Of this amount £2.118m will be provided direct by the Education and Skills Funding Agency (ESFA) to fund post 16 places in special schools and places in mainstream academy units and academy special schools. Budget allocations for 2018/19 were agreed within this level of resources.
- 4.2 In July 2018, revised DSG allocations for 2018/19 were published. These allocations reflected academy recoupment for the Schools Block and High Needs Block and an adjustment Early Years Block to reflect pupil numbers recorded on the January 2018 census. There has also been an adjustment to the High Needs Block to reflect the latest import/export adjustment. Since the July publication, there has been a further update to the adjustment for academies recoupment to reflect September 2018 conversions. The latest DSG position for 2018/19 is summarised in Table 2.

Table 2 – DSG Allocation 2018/19

DSG Summary 2018/19	ORIG 2018/19	Academy Recoup	Import/Export Adj 18/19	Early Years Adj 18/19	REVISED 2018/19
	£000	£000	£000	£000	£000
SCHOOLS BLOCK	255.796	(103.411)			152.385
CENTRAL SERVICES	2.972				2.972
EARLY YEARS BLOCK	26.955			(1.549)	25.406
HIGH NEEDS BLOCK	45.817		(0.114)		45.703
GROSS DSG	331.540	(103.411)	(0.114)	(1.549)	226.466
Direct ESFA Funding	(2.117)	(0.464)			(2.581)
NET TOTAL DSG	329.423	(103.875)	(0.114)	(1.549)	223.885

- 4.3 Further updates to the 2018/19 DSG allocation are expected in December 2018, to reflect any further academy conversions in the Autumn term. Recoupment adjustments have a nil effect on the overall the school's budget position as a reduction in income is matched by a reduction in expenditure.

5. 2018/19 DSG Budget Monitor

Appendix A details the DSG budget monitoring position as at the end of October 2018.

5.1 Schools Block

There are projected underspends in the Schools Block. These relate to the Growth Fund, where the contingency for additional classes is not required, and rates where there will be reduced demand on the DSG for schools converting to academy status as they will be entitled to 80% charitable relief.

5.2 Early Years Block

As reported in 4.2. above, the Early Years Block allocation has been revised to reflect the pupil numbers recorded on the January 2018 census. The £1.549m reduction in funding reflects small increases in take up for 2-year-old provision and the 15 hours free entitlement for 3 and 4 year olds but a much lower take

up than estimated for the 30 hours provision.

At this stage of the financial year it is estimated that expenditure will reduce in line with the funding reduction and that the budget will be on target overall.

5.3 High Needs

The current projections for High Needs budgets indicate that

- Expenditure for out-borough placements will be within budget provision. An underspend of £230k is being projected across the 4 areas and this will be closely monitored to reflect any new or ended placements. The contingency element of the budget will be reduced as we move through the year
- An overspend on Post 16 SEN is projected at this stage of the financial year based on current levels of demand. This overspend has increased this month reflecting an increase in the number of learners
- Exceptional needs allocations are expected to exceed budget provision due to an increase in EHCPs over the summer term and projected increases over the next 2 terms.
- An increase in expenditure due to funding for Durants School to make specific provision for 3 pupils with complex needs
- A reduction in expenditure due to a delay in the opening of St Mary's Unit until January 2019
- An underspend on ARPs due to closed provisions. This is a short-term position until new providers are agreed.

Plans for additional in borough provision is being developed and an update will be provided as soon as the details and start dates have been confirmed.

5.4 DSG Outturn Position

Overall, the latest monitoring position for 2018/19 indicates an overspend of £0.262k which will increase the overall DSG deficit to (£1.749k). The 2018/19 budget will continue to be monitored closely for the remainder of the financial year and updates will be provided to the Forum at future meetings.

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Funding Models for Consultation

Please note that the October 2017 dataset and indicative funding allocation have been used for these illustrations based on October 2018 dataset and the confirmed DSG allocation notified by the DfE.

Available Funding	256,500,000	MODEL C
Prim:Sec Ratio	1:1.136	1:1.44
Use of total funding -	2,700,431	26,365
% of School losing		81%
Range of change in funding		-£27k - £380k

Schools	Model A	MODEL C		
	Model A 2018-19 Budget	C - ALLOC	Variance to 1819	Variance %
	253,799,569	256,526,365		
Ark John Keats Academy	6,711,543	6,812,444	100,901	1.50%
Edmonton County School	9,137,223	9,515,821	378,598	4.14%
Oasis Academy Hadley	7,504,658	7,504,196	- 462	-0.01%
One Degree Academy	456,519	454,494	- 2,025	-0.44%
Alma Primary School	1,931,132	1,920,494	- 10,639	-0.55%
Bowes & Delta Primary School	3,147,356	3,145,317	- 2,039	-0.06%
Brettenham Primary School	1,992,332	1,981,308	- 11,024	-0.55%
Brimsdown Primary School	2,647,967	2,632,876	- 15,091	-0.57%
Bush Hill Park Primary School	2,467,093	2,453,284	- 13,809	-0.56%
Capel Manor Primary School	1,877,536	1,867,388	- 10,148	-0.54%
Carterhatch Infant School	1,410,269	1,402,714	- 7,555	-0.54%
Carterhatch Junior School	1,666,379	1,657,134	- 9,246	-0.55%
Chase Side Primary School	1,794,222	1,784,395	- 9,826	-0.55%
Chesterfield Primary School	3,500,425	3,480,223	- 20,201	-0.58%
Churchfield Primary School	2,702,613	2,687,405	- 15,208	-0.56%
Cuckoo Hall Academy	3,429,494	3,409,725	- 19,768	-0.58%
De Bohun Primary School	1,788,226	1,778,345	- 9,881	-0.55%
Eastfield Primary School	1,911,305	1,900,824	- 10,481	-0.55%
Eldon Primary School	4,687,864	4,660,955	- 26,908	-0.57%
Enfield Heights Academy	885,878	881,316	- 4,562	-0.52%
Eversley Primary School	2,489,469	2,475,448	- 14,021	-0.56%
Firs Farm Primary School	2,579,290	2,564,779	- 14,511	-0.56%
Fleecefield Primary School	2,013,877	2,002,653	- 11,224	-0.56%
Forty Hill CofE Primary School	933,305	928,443	- 4,861	-0.52%
Freezywater St George's CofE Primary School	1,074,030	1,068,329	- 5,702	-0.53%
Galliard Primary School	2,738,465	2,723,306	- 15,159	-0.55%
Garfield Primary School	1,870,811	1,860,534	- 10,277	-0.55%
George Spicer Primary School	3,187,151	3,169,100	- 18,051	-0.57%
Grange Park Primary School	3,076,232	3,058,568	- 17,664	-0.57%
Hadley Wood Primary School	970,395	965,470	- 4,925	-0.51%
Hazelbury Primary School	4,602,654	4,575,862	- 26,792	-0.58%
Hazelwood Infant School	1,228,515	1,222,036	- 6,479	-0.53%

Hazelwood Junior School	1,661,929	1,652,849	- 9,079	-0.55%
Highfield Primary School	2,617,832	2,603,221	- 14,611	-0.56%
Honilands Primary School	2,768,803	2,753,139	- 15,664	-0.57%
Houndsfield Primary School	2,873,159	2,857,065	- 16,094	-0.56%
Keys Meadow School	1,902,870	1,892,550	- 10,320	-0.54%
Kingfisher Hall Primary Academy	1,777,123	1,767,256	- 9,867	-0.56%
Latymer All Saints CofE Primary School	2,607,064	2,592,211	- 14,853	-0.57%
Lavender Primary School	2,017,801	2,006,450	- 11,351	-0.56%
Meridian Angel Primary School	1,005,989	1,000,741	- 5,248	-0.52%
Merryhills Primary School	2,548,126	2,533,948	- 14,178	-0.56%
Oakthorpe Primary School	2,413,250	2,399,725	- 13,525	-0.56%
Our Lady of Lourdes Catholic Primary School	916,605	911,839	- 4,767	-0.52%
Prince of Wales Primary School	2,727,837	2,712,582	- 15,256	-0.56%
Raglan Infant School	1,575,787	1,567,230	- 8,557	-0.54%
Raynham Primary School	3,187,949	3,169,843	- 18,106	-0.57%
Southbury Primary School	1,866,192	1,855,913	- 10,279	-0.55%
St Andrew's CofE Primary School	1,678,243	1,668,922	- 9,320	-0.56%
St Andrew's Southgate Primary School (CE)	947,532	942,589	- 4,943	-0.52%
St Edmunds Catholic Primary School	1,901,274	1,890,642	- 10,633	-0.56%
St George's Catholic Primary School	2,521,306	2,506,954	- 14,352	-0.57%
St James CofE Primary School	934,710	929,840	- 4,869	-0.52%
St John and St James CofE Primary School	1,722,430	1,712,829	- 9,600	-0.56%
St John's CofE Primary School	591,759	588,940	- 2,819	-0.48%
St Mary's Catholic Primary School	1,737,697	1,728,024	- 9,673	-0.56%
St Matthew's CofE Primary School	953,847	948,856	- 4,991	-0.52%
St Michael at Bowes CofE Junior School	1,486,606	1,478,427	- 8,179	-0.55%
St Michael's CofE Primary School	1,715,049	1,705,607	- 9,442	-0.55%
St Monica's RC Primary School	1,672,698	1,663,408	- 9,290	-0.56%
St Paul's CofE Primary School	1,647,522	1,638,392	- 9,131	-0.55%
Starks Field Primary School	1,883,625	1,873,453	- 10,172	-0.54%
Suffolks Primary School	1,832,802	1,822,696	- 10,107	-0.55%
The Raglan Junior School	1,959,402	1,948,543	- 10,859	-0.55%
Tottenham Infant School	1,316,528	1,309,408	- 7,120	-0.54%
Walker Primary School	1,717,142	1,707,725	- 9,417	-0.55%
West Grove Primary School	1,791,919	1,782,278	- 9,640	-0.54%
Wilbury Primary School	3,702,209	3,681,357	- 20,853	-0.56%
Wolfson Hillel Primary School	1,668,999	1,659,745	- 9,254	-0.55%
Woodpecker Hall Primary Academy	1,844,212	1,833,922	- 10,290	-0.56%
Worcesters Primary School	2,571,646	2,557,232	- 14,414	-0.56%
Aylward Academy	7,374,216	7,668,630	294,415	3.99%
Bishop Stopford's School	4,022,657	4,245,383	222,727	5.54%
Broomfield School	3,138,833	3,243,433	104,600	3.33%
Chace Community School	6,217,220	6,363,466	146,247	2.35%
Enfield County School	5,352,691	5,528,745	176,054	3.29%
Enfield Grammar School	5,033,445	5,159,277	125,833	2.50%
Heron Hall Academy	3,499,106	3,703,177	204,071	5.83%
Highlands School	7,003,754	6,982,977	- 20,776	-0.30%
Kingsmead School	6,700,745	7,081,143	380,398	5.68%
Lea Valley High School	6,385,632	6,701,295	315,663	4.94%
Nightingale Academy	3,799,150	4,057,913	258,763	6.81%
Oasis Academy Enfield	4,972,692	5,268,330	295,637	5.95%
Southgate School	6,550,698	6,590,926	40,228	0.61%

St Anne's Catholic High School for Girls	5,022,663	5,177,216	154,553	3.08%
St Ignatius College	4,681,508	4,880,497	198,989	4.25%
The Latymer School	4,695,950	4,678,410	- 17,541	-0.37%
Winchmore School	6,666,910	6,794,012	127,103	1.91%

Appendix A

ative models. The final allocations will

MODEL D
1:1.38
- 41,427
57%
-£27k - £306k

MODEL D		
D - ALLOC	Variance to 1819	Variance %
256,458,573		
6,801,387	89,844	1.34%
9,443,481	306,258	3.35%
7,494,477	- 10,181	-0.14%
454,597	- 1,922	-0.42%
1,948,685	17,553	0.91%
3,146,169	- 1,187	-0.04%
1,981,411	- 10,921	-0.55%
2,659,549	11,582	0.44%
2,472,972	5,879	0.24%
1,867,491	- 10,045	-0.53%
1,402,817	- 7,452	-0.53%
1,657,237	- 9,143	-0.55%
1,784,499	- 9,723	-0.54%
3,526,045	25,620	0.73%
2,718,091	15,478	0.57%
3,450,951	21,457	0.63%
1,778,448	- 9,778	-0.55%
1,917,456	6,150	0.32%
4,661,059	- 26,805	-0.57%
881,419	- 4,459	-0.50%
2,475,551	- 13,918	-0.56%
2,564,882	- 14,408	-0.56%
2,028,994	15,117	0.75%
928,546	- 4,758	-0.51%
1,068,432	- 5,598	-0.52%
2,740,351	1,886	0.07%
1,860,637	- 10,174	-0.54%
3,169,203	- 17,948	-0.56%
3,058,671	- 17,561	-0.57%
965,573	- 4,822	-0.50%
4,575,965	- 26,689	-0.58%
1,222,139	- 6,376	-0.52%

1,652,952	- 8,976	-0.54%
2,603,324	- 14,508	-0.55%
2,785,506	16,703	0.60%
2,857,168	- 15,991	-0.56%
1,892,891	- 9,979	-0.52%
1,767,359	- 9,764	-0.55%
2,623,372	16,308	0.63%
2,006,553	- 11,248	-0.56%
1,000,844	- 5,145	-0.51%
2,534,051	- 14,074	-0.55%
2,418,617	5,367	0.22%
911,942	- 4,663	-0.51%
2,740,881	13,044	0.48%
1,567,333	- 8,454	-0.54%
3,214,057	26,108	0.82%
1,880,349	14,157	0.76%
1,669,026	- 9,217	-0.55%
942,692	- 4,840	-0.51%
1,905,239	3,964	0.21%
2,507,057	- 14,249	-0.57%
932,323	- 2,386	-0.26%
1,727,429	4,999	0.29%
589,043	- 2,716	-0.46%
1,735,798	- 1,899	-0.11%
948,960	- 4,888	-0.51%
1,493,615	7,009	0.47%
1,705,710	- 9,339	-0.54%
1,663,511	- 9,186	-0.55%
1,638,495	- 9,028	-0.55%
1,893,511	9,887	0.52%
1,822,799	- 10,004	-0.55%
1,948,646	- 10,756	-0.55%
1,317,376	848	0.06%
1,707,828	- 9,314	-0.54%
1,782,381	- 9,537	-0.53%
3,681,460	- 20,749	-0.56%
1,659,848	- 9,151	-0.55%
1,834,026	- 10,187	-0.55%
2,557,335	- 14,311	-0.56%
7,607,759	233,544	3.17%
4,191,652	168,995	4.20%
3,242,063	103,230	3.29%
6,363,080	145,860	2.35%
5,500,334	147,643	2.76%
5,135,368	101,924	2.02%
3,654,583	155,477	4.44%
7,054,036	50,283	0.72%
6,970,150	269,405	4.02%
6,656,491	270,859	4.24%
4,015,148	215,998	5.69%
5,225,183	252,491	5.08%
6,629,418	78,720	1.20%

5,120,536	97,873	1.95%
4,809,813	128,305	2.74%
4,682,329	- 13,622	-0.29%
6,772,136	105,226	1.58%

MUNICIPAL YEAR 2018/2019 REPORT NO. 16

MEETING TITLE AND DATE:

Education Resources Group – 4 December 18
Schools Forum – 12 December 18

REPORT OF:

Executive Director of People Services

Contact officer: Sangeeta Brown

E mail: sangeeta.brown@enfield.gov.uk

Item: 4b

Subject:

**School Funding Arrangements –
2019/20: Responses to Consultation**

Wards: All

1. EXECUTIVE SUMMARY

1.1. This report provides a summary of the responses received to the proposals contained in the consultation document on the school funding arrangements for 2019/20.

2. RECOMMENDATIONS

2.1 Members are asked to consider and comment on the final recommendations detailed in paragraph 4 for allocating funding from the Schools and Early Years blocks.

3 BACKGROUND

3.1 At the last meeting, the Forum was informed of the proposed changes to the local funding arrangements for the Schools and Early Years blocks for 2019/20 and the Authority's preferred options. Following feedback from the Forum, the proposals were amended to include the views of the Forum and then published and circulated to all maintained schools, academies, free schools and private, independent & voluntary early years providers to comment.

3.2 This report provides a summary of the responses received and seeks the Forum's views on the final proposals for the local funding arrangements for 2019/20. Once the Forum's views have been received, the approval of the Cabinet Member for Children's Services will be sought.

In providing their view's, the Forum is reminded that the proposals in the consultation were based on 2018/19 data and indicative funding information provided by the DfE. Both the data and funding information will be subject to change: pupil data from the October 2018 Census and notification confirming the final budget settlement from the Government. Therefore, the proposals in this document will be subject to the resources available.

4. SUMMARY OF RESPONSES

4.1 The consultation document detailing the funding arrangements was published on 8 October 2018. Following a request from Secondary Headteachers' Conference, the deadline to submit responses was extended from 6 November to 16 November 2018 to enable sufficient time to consider and respond. In total 33 responses were received and of these five were received after the extended deadline. Table 1 provides a summary of the response received.

Table 1: Summary of Responses Received

Sectors	No of Schools / Settings	No of Responses Received	% Sector Response	% of Total Response
Primary	50	7	14%	3%
Secondary	9	5	56%	2%
Special	6	-	0%	0%
Academies	30	14	47%	7%
PVI	119	8	7%	4%
TOTAL	214	34	16%	16%

4.2 Looked After Children

The Forum will be aware this factor has been removed from the NFF but was retained in the Enfield Funding Formula (EFF) to ensure the most vulnerable pupils continued to be supported. Following discussions with the Forum and the Education Resources Group, the consultation document included a proposal to remove this factor from the EFF and the funding (£140k) currently allocated through this factor is pooled and transferred to the High Needs block to enable more targeted support to be provided. Tables 2 to 4 detail the response and comments received to the consultation.

Table 2: Responses received for removal of LAC for the EFF

LAC: Remove from EFF	Agree	Disagree	No Response
Primary	6	1	-
Secondary	3	1	1
Special	-	-	-
Academies and Free Schools	10	2	2
PVIs	1	1	6
TOTAL	20	5	9

Table 3: Responses received for transfer of LAC funding from Schools to High Needs Block

High Needs Funding	Agree	Disagree	No Response
Primary	5	1	1
Secondary	4	-	1
Special	-	-	-
Academies and Free Schools	10	-	4
PVIs	1	-	7
TOTAL	20	1	13

Table 4: Additional comments received and responses to these comments

	Comments	Responses
1.	LAC: However, the LA needs to lead on creating a strategic plan so that the money is spent in a strategic manner so that we can evaluate the impact on LAC. The plan needs to be agreed by Primary and Secondary Headteacher representatives and monitored by a core group comprising LA, Primary and secondary leads. If there is no- one in the LA who will lead on this, then the money should continue to go to schools.	Noted, if agreed the Authority will work with the Education Resources Group to consider if this Fund could be managed in a similar way to the Inclusion Fund used for Early Years with Headteacher and Officer Panel assessing applications for funding or in some other way to support particular projects or target resources for a particular activity.
2.	Continue with present funding for LAC	Noted

Recommendation

The Authority is recommending the transfer of the funding currently allocated for LAC from the Schools to the High Needs block to provide more targeted support for LAC. If agreed, the Authority will work with the Education Resources Group to develop options and criteria for allocating this funding.

4.2 Mainstream Schools: Enfield Funding Formula (EFF)

The Forum are reminded that the DfE confirmed the continuation of the arrangements put in place for 2018/19, that is a 'soft' NFF for 2019/20 and 2020/21. The reasons stated for this was that the DfE was satisfied with progress individual local authorities had made in moving towards the NFF. So, this effectively means for the next two years local authorities continue to receive funding that has been calculated using the NFF for schools with the total amount for schools in each authority in 2019/20 being then adjusted by the additional 0.5% agreed by the Secretary of

State. Local authorities then continue to be responsible for consulting and determining within the regulatory parameters the local funding formula for mainstream schools in their area.

The Forum's comments at the last meeting were used to finalise the options for consultation. Table 5 details the Authority's preferred options for EFF and on which responses were sought.

Table 5: Responses to the Enfield funding formula for mainstream schools

Financial Year	Model	Factors / Unit Rates Applied	MFG
2019/20	D	<ul style="list-style-type: none"> - NFF Unit Rates for: EAL & LPA¹ - 85% NFF Unit Rate for Ever 6 FSM - 60% NFF Unit Rates for all other factors - Mobility (Enfield Rates) - No LAC* 	-0.6% 3% Gains CAP (If applicable)
2020/21	C	<ul style="list-style-type: none"> - NFF Unit Rates - No LAC* - Include Mobility (Enfield Rates) 	-0.6% 3% Gains CAP (If applicable)

*Assumes funding transferred to High Needs Block for targeted support

The reasons for the preferred models were:

- For 2019/20: the aim was to slow the impact of a reduction in funding under NFF and enable schools to plan for this change in funding for the start of the new academic year;
- For 2020/21: with the NFF due to be introduced the following year, the Authority's view was that EFF should be based on the NFF unit rates and schools protected by the minimum funding guarantee. This would enable schools to manage a gradual change in funding.

Appendix A illustrates the individual school's allocations for the current (2018/19), Model D and Model C.

Tables 6 & 7 detail a summary of the responses and comments received.

Table 6: Responses to the Enfield funding formula for mainstream schools

2019/20: Model D	Agree	Disagree	No Response
Primary	6	1	-
Secondary	2	3	-
Special	-	-	-
Academies and Free Schools	11	3	-
PVIs	2	-	6
TOTAL	21	7	6

2020/21: Model C	Agree	Disagree	No Response
Primary	5	1	1
Secondary	5	-	-
Special	-	-	-
Academies and Free Schools	10	2	2
PVIs	1	1	6
TOTAL	21	4	9

Table 7: Additional comments received and responses to these comments

Comments	Responses
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¹ EAL – English as an additional language
LPA – Low Prior Attainment

	Comments	Responses
1.	A cap should be applied, and money raised from the cap should be used to uplift the other schools. The pot of money has been divided up that leaves a majority of schools losing out while some schools get 5% or more increases. How much extra money would a 3% cap generate, and how much could the floor be raised by as a result?	A Cap of 3% was applied to the per pupil funding. It was found the Cap was not required for the pupil led funding because of the increase in the overall funding provided for the Schools Block.
2.	We would like Model A where no school lose out. It would help schools through a difficult period of falling rolls.	As has been highlighted, this model is not considered to be a viable option because it does not fully utilise the funding available and would not enable a slow move to the NFF.
3.	We would wish to see Model C implemented in 2019/2020. The Governing Body believes it would now be both advantageous and rational to move as far as possible towards the NFF without further delay.	Noted and will be considered when the final proposal is presented.
4.	Model C to be implemented in 2019/20	
5.	Model C straight away.	
6.	We would prefer to keep model D from 2019/21	
7.	I believe we should move to model C immediately.	
8.	The NFF represents a significant change in the way schools are funded. It is important that we work to implement the NFF and then we can manage the formula going forward. Failing to implement the NFF will mean that the money we receive is not applied to the children who represent the elected Government priorities.	
9.	Note from Table 1 that both Schools and High Needs Block are slightly higher than previous year (c. £3 million increase) so changes year on year are more about distribution of the pot and not the size of the pot. Broadly speaking winners are matched by losers.	
10.	Section 3.2.1 says a key aim is "to try and achieve through EFF the national average for the primary to secondary funding ratio of 1:1.3". Since the NFF is designed for close to a 1:1.3 ratio and since Enfield was 1.28 previously (see last year's consultation document) then why are the proposed ratios so far away - this needs a detailed explanation as it's a major point. What is causing this?	The national average primary to secondary funding ratio is 1:1.3. With the changes to the unit rates imposed by the NFF including the reduction in the AWPU (see response above), the impact for EFF is the widening of the primary to secondary funding ratio. The only way to prevent the ratio widening would be not to implement the NFF unit rates. The concern with doing this is that when the full NFF is introduced, schools will be adversely affected and face an even greater reduction in funding.
11.	Are the council accurately capturing EAL3 for secondary schools? We would expect very few EAL joining the school system in the last three years at secondary level (and many more a primary level).	The funding is calculated by the ESFA using October Pupil Census and other historic data relating to pupils recorded on the Census.
12.	Also, the major inconsistency quoted primary to secondary funding ratios between Table 3 and Appendix C brings into doubt whether the modelled ratios are significantly above or significantly below the 1:1.3 target.	Noted and will be checked before a final proposal is presented to the Schools Forum.

Recommendation

To address the responses and comments, the Authority explored other options including moving funding for secondary schools on the NFF unit rates and maintaining Model D for primary schools. This option, as with others considered, resulted in greater turbulence for individual schools, especially secondary. This was because of the interaction between the unit rates applied, rates set for the MFG and the gains Cap. Furthermore, it should be noted that the unit

rates set for the NFF rely on national averages and a notional assumption of requirement within the funding available and not because of a “needs based” analysis. For these reason, the Authority is recommending subject to no further national changes that:

- For 2019/20: Model D be implemented;
- For 2020/21: Model C be implemented;
- For both models, an MFG of -0.6% and gains cap of 3% be set.

It is the Authority’s view that these recommendations will enable a slower and measured approach in the move towards the NFF and support the most vulnerable pupils in all Enfield schools.

4.3 **Funding for Pupils with High Needs in Mainstream Schools**

Schools were asked to respond on the proposal to transfer 0.5% funding from the Schools Block to the High Needs Block to continue to support schools with an above average incident of pupils with SEND. The average incident is currently calculated to be 1 in 70 pupils; and for 2019/20, this average will be reviewed to reflect October 2018 pupil numbers. Table 8 details the responses received.

Table 8: Responses received for funding pupils with High Needs in Mainstream Schools

High Needs Funding	Agree	Disagree	No Response
Primary	6	1	-
Secondary	4	1	-
Special	-	-	-
Academies and Free Schools	12	-	2
PVIs	2	-	6
TOTAL	24	2	8

Recommendation

The Authority is recommending the transfer of 0.5% from the Schools to the High Needs block to support mainstream schools with higher than the average incident of SEND pupils. In line with other school funding arrangements, the average incident will be calculated using pupil data from the October Census.

4.4 **Early Years Inclusion Fund**

The consultation document sought the continuation of the current arrangements for the use of the Inclusion Fund, which comprises of allocating the Fund to individual providers to access targeted resources to support pupils with SEND and centrally commissioned specialist provision to support all providers. The targeted resources are administered through an Inclusion Panel consisting of Headteachers, Managers from individual settings and officers. The commissioned specialist support includes Educational Psychologists and SENCOs. Table 9 provides a summary of the responses received.

Table 9: Responses received to the use of the Early Years Inclusion Fund

Early Years Inclusion Fund	Agree	Disagree	No Response
Primary	6	-	1
Secondary	1	-	4
Special	-	-	-
Academies and Free Schools	11	-	3
PVIs	8	-	-
TOTAL	26	-	8

Recommendation

It is recommended that the current arrangements for the use of the Inclusion Fund are retained.

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MUNICIPAL YEAR 2018/2019 REPORT NO. 17**MEETING TITLE AND DATE:**

Schools Forum – 12 December 2018

REPORT OF:

Director of Education

Contact officer: Sangeeta Brown

E mail: sangeeta.brown@enfield.gov.uk**Item: 4c****Subject:****Central Schools Services Block & De-delegation of Services for 2019/20****1. EXECUTIVE SUMMARY**

- 1.1. This report provides information and planned use of new Central Schools Services block (CSSB) and also details of the de-delegated services to be provided.

2. RECOMMENDATIONS

- 2.1 The Forum is asked to approve the continuation of the services listed in Table 2.
2.2 The maintained Schools Forum members are asked to consider and approve the de-delegated services listed in Table 3.

3 BACKGROUND

- 3.1 In previous years, the Schools Forum has been provided with information on the central services funded from the DSG and, as required under the regulations, the Forum has been asked to either confirm or provide a view on the proposed use.

In their latest guidance, for 2019/20, the DfE have confirmed the arrangements for central services.

- 3.2 For 2019/20, the DfE have confirmed there will no change to the arrangements for the Central Schools Services block (CSSB) from those in place in 2018/19. The CSSB brought together funding for:

- the retained duties element of the ESG (for all schools, academies and free schools)
- ongoing central statutory functions, such as admissions (for all maintained schools)
- historic commitments (for all schools, academies and free schools)

The CSSB does not include funding for the Local Authority's general regulatory duties, which were previously provided for maintained schools through the ESG. These services can continue to be provided in another way, i.e. as de-delegated services.

Appendix A provides a summary of the statutory and regulatory duties.

4 FUNDING TO BE ALLOCATED

- 4.1 The CSSB is made up of two elements: statutory duties and historic commitments. For 2019/20, the two elements will be funded as follows:

i. Statutory Duties:

As 2018/19, these will continue to be funded based on a national funding formula. The formula uses pupil numbers and numbers of pupils from a deprived background.

ii. Historic Commitments:

For 2019/20, the historic commitments will be funded at the same level as 2018-19. The DfE have however stated that they will monitor the use of this funding through the Section

251 Budget Statement because there is a presumption that there will be a reduction in expenditure. Furthermore, from 2020-21, the DfE have stated where expenditure has not decreased that they will consider options for reducing it.

Table 1 details the actual funding for 2017/18 and 2018/19 and indicative funding for 2019/20.

Table 1: Funding for the CSSB

Areas of Funding	Actual 2017/18	Actual 2018/19	Indicative 2019/20	Variance
	£000s	£000s	£000s	£000s
Statutory Duties	2,101.8	2,059.0	2,007.1	-51.9
Historical Commitments	912.6	912.6	912.6	-
Total	3,014.4	2,971.6	2,919.7	-51.9

4.2 Use of CSSB for 2019/20

4.2.1 The statutory duties within the CSSB now funded through the NFF has meant a reduction in the overall funding available to support this function. The reduction is being managed through planned savings identified in 2017/18. The services to be provided are detailed in Table 2.

4.2.2 It is noted that the DfE are seeking a reduction in the expenditure incurred for historical commitments. They have suggested any reduction identified can be transferred to the High Needs block (HNB) or pressures in other areas of the DSG. It is unclear the full impact of moving funding from CSSB to HNB or any other area, but it is proposed the current services for 2019/20 be maintained, and where an underspend is identified that it is transferred to the HNB. The services the Authority is planning to fund from the CSSB are detailed in Table 2.

Table 2: Planned Use of CSSB

Areas of Funding	Actual 2017/18	Actual 2018/19	Indicative 2019/20	Variance	Comments
	£000s	£000s	£000s	£000s	
Education Welfare	385	385	385	-	Following last year's review of services supported by this funding, adjustments have been made to reflect the savings required and support required to support statutory functions.
Admissions	624	624	624	-	
Appeals	259	229	150	-79	
Central Licenses	226	226	226	-	
Management and support	518	504	532	28	
Place Planning	90	90	90	-	
Ongoing Functions	2,102	2,058	2,007	-52	
Prudential Borrowing	337	267	257	-10	The change reflects a reduction in the annual costs of repayment. As this year, the funding released will be added to the High Needs block.
Joint Services for Disabled Children	25	25	25	-	
HEART	39	39	39	-	
Out of School Activities	41	41	41	-	
Parenting Support Service	386	386	386	-	
Adolescent Support Service	84	84	84	-	
Historical Commitments	912	842	832	-	

The Forum is asked to confirm their agreement to these services continuing to be funded.

5. DE-DELEGATED SERVICES FOR MAINTAINED SCHOOLS FOR 2019/20

- 5.1 To support general duties provided to maintained schools and were previously funded from the ESG, local authorities can seek approval for money to be de-delegated from maintained schools to continue to provide these services. The approval for de-delegation is required on an annual basis. It should be noted that academies are not included in this process and may buy back these services from the Local Authority from their allocated budget share.
- 5.2 Table 3 lists the services seeking de-delegation services and attached at appendix B is information on the use of the funding by each service:

Table 3: De-delegated Services

Areas of Funding	Sector	Total De-delegated Budget	Amount per pupil / FSM	Further Information
		£	£	
Licenses & Subs – CLEAPPS	Prim & Sec	4,693	0.16	See appendix B
NQT Recruitment Support & Applicant Tracking System	Prim & Sec	20,810	0.87	See appendix B
Union Duties	Prim & Sec	84,981	2.90	See appendix B – the Service cost will be reduced from £3.05 to £2.90 for 2019/20.
Free School Meals Eligibility	Prim & Sec	27,830	6.4	
School Improvement Service	Primary	251,640	11.94	See appendix B
Support for Schools in Difficulties	Prim & Sec	126,290	4.31	Information on the Fund has been circulated to all schools. This Fund has been used to support a school and another two schools have indicated that they will be bidding for support. It is suggested that this Fund is supported for 2019/20 because of the impact of changes to the funding arrangements will have on individual schools. If not agreed, then there will be no other funding to support schools.
General Data Protection Regulation	Prim & Sec	90,836	3.1	This service was provided because of the introduction of the regulations. There were some initial difficulties, but the team has worked with individual schools, led workshops and has provided termly briefings, as well as attend Forums and other meetings. It is envisaged that the intensive support to meet the new requirements will not be needed for 2019/20. However, there is a legal duty for schools to have named Data Protection Officer as the main point of contact for any queries relating to breaches, information held, and amendments required to meet statutory and local changes. For this reason, it is suggested that this service continues to be supported as a de-delegated service.
Long Service Awards	Prim & Sec	4,395	0.15	

The maintained schools Forum representatives are asked to consider and agree the de-delegate services.

Statutory and regulatory duties

Responsibilities held for all schools	Responsibilities held for maintained schools only
<p>Director of children’s services and personal staff for director (Sch 2, 15a)</p> <p>Planning for the education service as a whole (Sch 2, 15b)</p> <p>Revenue budget preparation, preparation of information on income and expenditure relating to education, and external audit relating to education (Sch 2, 22)</p> <p>Authorisation and monitoring of expenditure not met from schools’ budget shares (Sch 2, 15c)</p> <p>Formulation and review of local authority schools funding formula (Sch 2, 15d)</p> <p>Internal audit and other tasks related to the authority’s chief finance officer’s responsibilities under Section 151 of LGA 1972 except duties specifically related to maintained schools (Sch 2, 15e)</p> <p>Consultation costs relating to non-staffing issues (Sch 2, 19)</p> <p>Plans involving collaboration with other LA services or public or voluntary bodies (Sch 2, 15f)</p> <p>Standing Advisory Committees for Religious Education (SACREs) (Sch 2, 17)</p> <p>Provision of information to or at the request of the Crown other than relating specifically to maintained schools (Sch 2, 21)</p>	<p>Functions of LA related to best value and provision of advice to governing bodies in procuring goods and services (Sch 2, 56)</p> <p>Budgeting and accounting functions relating to maintained schools (Sch 2, 73)</p> <p>Functions relating to the financing of maintained schools (Sch 2, 58)</p> <p>Authorisation and monitoring of expenditure in respect of schools which do not have delegated budgets, and related financial administration (Sch 2, 57)</p> <p>Monitoring of compliance with requirements in relation to the scheme for financing schools and the provision of community facilities by governing bodies (Sch 2, 58)</p> <p>Internal audit and other tasks related to the authority’s chief finance officer’s responsibilities under Section 151 of LGA 1972 for maintained schools (Sch 2, 59)</p> <p>Functions made under Section 44 of the 2002 Act (Consistent Financial Reporting) (Sch 2, 60)</p> <p>Investigations of employees or potential employees, with or without remuneration to work at or for schools under the direct management of the headteacher or governing body (Sch 2, 61)</p> <p>Functions related to local government pensions and administration of teachers’ pensions in relation to staff working at maintained schools under the direct management of the headteacher or governing body (Sch 2, 62)</p> <p>Retrospective membership of pension schemes where it would not be appropriate to expect a school to meet the cost (Sch 2, 75)</p> <p>HR duties, including: advice to schools on the management of staff, pay alterations, conditions of service and composition or organisation of staff (Sch 2, 63); determination of conditions of service for non-teaching staff (Sch 2, 64); appointment or dismissal of employee functions (Sch 2, 65)</p> <p>Consultation costs relating to staffing (Sch 2, 66)</p> <p>Compliance with duties under Health and Safety at Work Act (Sch 2, 67)</p> <p>Provision of information to or at the request of the Crown relating to schools (Sch 2, 68)</p> <p>School companies (Sch 2, 69)</p> <p>Functions under the Equality Act 2010 (Sch 2, 70)</p> <p>Establish and maintaining computer systems, including data storage (Sch 2, 71)</p> <p>Appointment of governors and payment of governor expenses (Sch 2, 72)</p>

Education welfare

Responsibilities held for all schools	Responsibilities held for maintained schools only
<p>Functions in relation to the exclusion of pupils from schools, excluding any provision of</p>	<p>Inspection of attendance registers (Sch 2, 78)</p>

Responsibilities held for all schools	Responsibilities held for maintained schools only
<p>education to excluded pupils (Sch 2, 20)</p> <p>School attendance (Sch 2, 16)</p> <p>Responsibilities regarding the employment of children (Sch 2, 18)</p>	

Asset management

Responsibilities held for all schools	Responsibilities held for maintained schools only
<p>Management of the LA's capital programme including preparation and review of an asset management plan, and negotiation and management of private finance transactions (Sch 2, 14a)</p> <p>General landlord duties for all buildings owned by the local authority, including those leased to academies (Sch 2, 14b)</p>	<p>General landlord duties for all maintained schools (Sch 2, 76a & b (section 542(2)) Education Act 1996; School Premises Regulations 2012) to ensure that school buildings have:</p> <ul style="list-style-type: none"> appropriate facilities for pupils and staff (including medical and accommodation) the ability to sustain appropriate loads reasonable weather resistance safe escape routes appropriate acoustic levels lighting, heating and ventilation which meets the required standards adequate water supplies and drainage playing fields of the appropriate standards <p>General health and safety duty as an employer for employees and others who may be affected (Health and Safety at Work etc. Act 1974)</p> <p>Management of the risk from asbestos in community school buildings (Control of Asbestos Regulations 2012)</p>

Central support services

Responsibilities held for all schools	Responsibilities held for maintained schools only
No functions	<p>Clothing grants (Sch 2, 52)</p> <p>Provision of tuition in music, or on other music-related activities (Sch 2, 53)</p> <p>Visual, creative and performing arts (Sch 2, 54)</p> <p>Outdoor education centres (but not centres mainly for the provision of organised games, swimming or athletics) (Sch 2, 55)</p>

Premature retirement and redundancy

Responsibilities held for all schools	Responsibilities held for maintained schools only
No functions	Dismissal or premature retirement when costs cannot be charged to maintained schools (Sch 2, 77)

Monitoring national curriculum assessment

Responsibilities held for all schools	Responsibilities held for maintained schools only
No functions	Monitoring of National Curriculum assessments (Sch 2, 74)

Therapies

Responsibilities held for all schools	Responsibilities held for maintained schools only
No functions	This is now covered in the high needs section of the regulations and does not require schools forum approval

Other ongoing duties

Responsibilities held for all schools	Responsibilities held for maintained schools only
Licences negotiated centrally by the Secretary of State for all publicly funded schools (Sch 2, 8); this does not require schools forum approval Admissions (Sch 2, 9) Places in independent schools for non-SEN pupils (Sch 2, 10) Remission of boarding fees at maintained schools and academies (Sch 2, 11) Servicing of schools forums (Sch 2, 12) Back-pay for equal pay claims (Sch 2, 13) Writing to parents of year 9 pupils about schools with an atypical age of admission, such as UTCs and studio schools, within a reasonable travelling distance (new addition to CSSB, to be included in 2018 to 2019 regulations) ¹	No functions

Historic commitments

Responsibilities held for all schools	Responsibilities held for maintained schools only
Capital expenditure funded from revenue (Sch 2, 1) Prudential borrowing costs (Sch 2, 2(a)) Termination of employment costs (Sch 2, 2(b)) Contribution to combined budgets (Sch 2, 2(c))	No functions

Services funded through De-delegation

Service:	CLEAPSS: Subscription and Licenses (Consortium of Local Education Authority Provision for Science Services)
Service Provided	<p>There are two parts to the central licenses. These are:</p> <p>(a) Licenses negotiated by the DfE on behalf of schools, academies and free schools. The licenses covered by the national agreement include:</p> <ul style="list-style-type: none"> • Copyright Licensing Agency (CLA); • Education Recording Agency (ERA); • Filmbank Distributors Ltd. (for the PVSL); • Motion Picture Licensing Company (MPLC); • Newspaper Licensing Authority (NLA); • Schools Printed Music Licence (SPML); • Christian Copyright Licensing International (CCLI) (new); • Mechanical Copyright Protection Society (MCPS) (new); • Performing Rights Society (PRS) (new); and • Phonographic Performance Limited (PPL) (new). <p>The arrangements for the purchase of these licenses are managed by the DfE and then cost charged against each local authorities DSG. They do not form part of the de-delegation arrangements.</p> <p>(b) CLEAPSS (Consortium of Local Education Authority Provision for Science Services) license is purchased by the Local Authority at a discounted rate on behalf of maintained schools. The Local Authority arrangements are available for academies and free schools to purchase as a traded service.</p> <p>CLEAPSS provides general support for practical work with information, advice and training about laboratory design and practice, technicians and their jobs, equipment, materials, living organisms and especially health and safety. It offers more limited support and advice within technology, art and design and sometimes other practical subject.</p> <p>There is a requirement for schools with radioactive sources to have a trained Radiation Protection Officer to test and recommend how the affected sources should be disposed under the Environment Permitting and health and safety regulations.</p>
Service Delivery:	<p>To maintained schools as a de-delegated service and academies, free schools and colleges as a traded service.</p> <p>The aim is to provide schools with the latest advice and guidance in delivering the science curriculum and managing the arrangements for radioactive sources on site.</p>
If not approved	<p>Schools won't continue to receive the license at a preferential rate but will still be required to purchase the provision to support delivery of the science curriculum and legal requirements in relation to radioactive sources on site.</p>

Service:	School Standards and Support – Primary SIA Programme
Provision:	Core School Improvement offer to Primary Schools
Service Delivery	<p>The Service:</p> <ul style="list-style-type: none"> • Acts as a supportive and challenging partner • The SIA support includes access to professional supported self-reviews for all maintained primary schools to work towards: <ul style="list-style-type: none"> ○ Being at least good and more will be outstanding ○ Being above the floor standard ○ Achievement gaps for disadvantaged pupils being below 10% at all Key Stages. • Identifies the most appropriate and effective strategies to secure sustainable improvement – interventions that work • Support Headteachers Performance management in line with the current legislation. • Provides additional support for Ofsted grades 3 and 4 schools to ensure that all children have access to at least a good standard of education. • Provides regular information and good practice sharing through briefings i.e. Headteachers termly briefings and Inspection Club sessions. • Provides support for Ofsted preparation including governance support • Provides access to projects and bespoke support including working with teaching schools, NLEs and ELEs and further opportunities for SIA service to direct project support to individual schools via partnerships with external institutions such as universities and other research bodies • All maintained primary schools access the offer <p>Through the de-delegated funding, the Service works with primary schools to:</p> <ul style="list-style-type: none"> • Provide enhanced SIA support to all maintained schools to ensure that schools are not categorised as schools causing concern (grade 3 or 4 on the School Intervention and Support Strategy) • Support schools through inspections • Reduce the number of schools categorised as Schools Causing concern • Supports Headteachers Performance Management • Broker support for schools from Teaching School Alliances and local schools as appropriate • Access, through briefings, to appropriate information from a range of strategic partners. • Develop capacity of the SIA team across the LA
If not approved	<ul style="list-style-type: none"> • If not funded, there will be a reduced level of support that could be offered on a buyback basis but may not be as reactive as the current service. • Redundancies and difficulty in meeting our CPD and externally funded project deadlines. • Inability to support schools before they are categorised by Ofsted or become a school causing concern
Other implications	<ul style="list-style-type: none"> • The joint working between the Council and schools ensures compliance in meeting statutory responsibilities and if funding was withdrawn, there is a significant reputational risk to the Council, schools and the service. • Could lead to more forced academisation that could have been prevented if support provided by the service had been available • Insufficient capacity to apply for additional funding to support schools to meet their statutory obligations.

	Union Duties
Service Provided	<p>The service provides an effective route for statutory and collective consultation and bargaining, a framework and structure for non-maintained schools to manage industrial relations and access to branch official from recognised unions, it includes:</p> <p><u>Consultation, negotiation and representation, for trade union members on matters connected with terms and conditions, including:</u></p> <ul style="list-style-type: none"> • pay • hours of work • holidays and holiday pay • sick pay arrangements • pensions • equality and diversity • notice periods • the working environment • job evaluation • local agreements (e.g. Teaching Assistant /Nursery Nurse agreements) • Health and Safety <p><u>Attendance and representation at meetings with and on behalf of union members in relation to: -</u></p> <ul style="list-style-type: none"> • grievances • disciplinary • attendance management • restructuring and/or redundancy • pay & grading appeals • TUPE transfers • Health and Safety • and any other industrial relations issues where school-based employees have a statutory right to representation. • members at management meetings on matters of local and joint interest, i.e. policy changes <p><u>Benefits:</u></p> <ul style="list-style-type: none"> • provides access to an effective route for statutory and collective consultation and bargaining • access to branch officials from NUT, NASUWT, UNISON and GMB unions • access to a framework and structure for academies to manage industrial relations • promotes and maintains partnership working, best practice and consistency • facilitates early resolution and reduces risk of disputes and Employment Tribunal claims • eliminates and reduces the need to for schools to establish, agree and co-ordinate release arrangements and paid time off for duties and training • eliminates or reduces cover and supply costs and resource implications • reduces the disruption to lessons and children’s education caused by releasing school-based reps for meetings • reduces the demands on local or workplace representatives. <p>Costs have been able to be reduced this year due to the number of schools committing to purchase the traded service and the number of pupils this covers.</p> <p>ACAS provides for ‘paid time off to carry out union duties’. The aim is that by buying into facilities time the officers who do this are a) more knowledgeable, b) more available and c) do not call on the local rep to request such time off (which they are entitled to).</p> <p>Compared with other London Boroughs of the 5 Boroughs who responded, we were the most cost-effective. This could be attributed to the years of investment to maintain good relationships with the Trade Unions, so it is a credit to the schools and headteachers over the years that keeps the cost as low as it is.</p>
Service Delivery:	All maintained schools

If not approved	Schools would be offered a traded service to buyback.
Other implications	Management of the traded service, increased invoicing (since all except 2 non-maintained schools in Enfield currently subscribe to the traded service, and the expectation would be that schools would want to continue to have quick access to the trade unions to resolve disputes)

Service	Newly Qualified Teacher Recruitment and Applicant Tracking System
Service Provided	<p>The De-delegation funding enables staff release time, administrative time and management of appropriate contracts for:</p> <ul style="list-style-type: none"> • Attendance at various University 'Teacher recruitment fairs' across London, where LB Enfield schools are represented and promoted to trainees in their final year of study for B.A. Education degrees, School Direct and PGCE courses • The development of promotional literature about Enfield and the NQT pool for distribution to Universities nationally. • Development of the schools vacancy website and applicant tracking system (www.enfieldschools.co.uk) upon which many school vacancies are advertised, and through which applicants can source information about the NQT Pool, the Supply Pool, and general information about working in Enfield. • The Applicant Tracking system will allow for applicant's details to be retained, schools to have access to 'talent pools' and for prospective teachers to have details of vacancies sent direct to their inbox rather than waiting for them to look at our website. Schools will have the ability to increase the functionality to support a reduction in their administration, 'blind' shortlisting and easier response to candidates and referees, hopefully to be available from mid-Nov 2018
Delivery of service:	All maintained schools
If not approved	Applicant tracking system and advertising website stopped. Fairs not attended, and no promotional literature provided.
Other implications	Enfield not promoted as a place to teach or be employed in schools.

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MUNICIPAL YEAR 2018/19 REPORT NO. 18

MEETING TITLE AND DATE:

Education Resources Group 4 December 2018
Schools Forum 12 December 2018

REPORT of:

Director of Finance, Resources & Customer Services

Contact officer and telephone number:

E-mail: Louise.McNamara@enfield.gov.uk

Agenda –

Item: 4d

Subject: Schools Budget: 2019/20 - Update

1. EXECUTIVE SUMMARY

The government funding settlement for 2019/20 is expected in mid to late December. A draft budget has been prepared based on initial projections of Dedicated Schools Grant (DSG) and estimated pupil data; this is subject to the actual settlement and dataset to finalise the allocations. Further reports will be presented to Schools Forum early in 2019 to agree the application of the DSG for 2019/20, including finalisation of the Schools Funding Formula.

2. RECOMMENDATIONS

- 2.1 The Schools Forum is asked to note the draft budget position for the Schools Block for 2019/20
- 2.2 The Schools Forum is asked to agree, for 2019/20, the following recommendations:
- The continuation of the growth fund at a cost of £0.3m.
 - transfer of 0.5% from the School's Block to the High Needs Block to support the current arrangements for exceptional needs pupils in mainstream schools
 - Transfer of £140k from the School's Block to the High Needs Block in respect of funding for Looked After Children
- 2.3 The Maintained School mainstream sector representatives are asked to consider and agree the proposed de-delegated services for 2019/20.

3. SCHOOLS BUDGET – MONITORING POSITION 2018/19

The DSG budget monitoring position as at the end of October 2018 is detailed in a separate report and Appendix A. A summary of the position is shown in **Table 1** below and indicates a projected overspend of £1.75m.

Table 1: Summary Monitoring Position 2018/19

	£000
DSG Deficit Balance b/f 17/18	1,488
2018/19 MONITORING POSITION	
Schools Block	(539)
Central Schools Services Block	0
Early Years Block	0
High Needs Block	801
TOTAL NET PRESSURES 18/19	262
NET MONITORING POSITION 18/19	1,750

At this stage, it has been assumed that the Early Years Block will have a net balanced position as both funding allocations and payments to providers are based on the number of pupils on roll.

The position will continue to be closely monitored for the remainder of the financial year and updates will be present to the Forum at future meetings.

4. Schools Budget 2019/20

4.1 Indicative DSG Allocation 2019/20

As in previous years, the DSG settlement and datasets will not be announced until mid to late December, following which the funding formula and budget allocations will be reviewed and reported back to Schools Forum in January 2019. Indicative DSG funding allocations for 2019/20 were published by the ESFA in September and are summarised in Table 1 below. This information indicated a net increase in funding of £3.1m across the 4 funding blocks.

Table 1 – Indicative DSG Allocation 2019/20 (ESFA Sept 2018)

Blocks	2018/19 Original Allocation	2019/20 Indicative Allocation	Variance
	£000	£000	£000
Schools	255,797	258,657	2,860
High Needs	45,817	46,121	304
Early Years*	26,955	26,955	-
CSSB	2,971	2,919	(52)
Total	311,540	334,652	3,112

*Early Years funding is based on the January Census and no indicative funding allocations for 2019/20 have been provided at this stage.

4.2 Pupil Number Data

Pupil Number data from the October 2018 census was not available in time to include in this report but we expect to see a decrease in primary numbers and an increase in secondary which continues the trend identified in 2017 as shown in Table 2 below. The position will be confirmed when we receive the ESFA dataset in December.

Table 2: Pupil Number Data (Gross Census Nos)

Sector	OCT 2015	OCT 2016	OCT 2017	Variance
PRIMARY	31,862	32,333	32,296	-37
SECONDARY	17,896	18,160	18,455	295
TOTAL	49,758	50,493	50,751	258

Schools have been advised that the year on year change in pupil numbers and other factors can have a significant impact on formula funding allocations and schools should factor this into their budget planning for 2019/20 and future years.

4.3 Schools Block

A detailed breakdown of the Indicative Schools Block allocation is shown in Table 3 below. The primary and secondary units of funding are fixed but the actual allocation will be based on October 2018 pupil numbers.

Table 3: Pupil Number Data (Gross Census Nos)

Sector	Prim	Sec	TOTAL
Pupil Nos	32,292	18,433	50,725
Unit of Funding	4,444.11	5,765.04	
TOTAL	143,506,851	106,264,077	249,770,928
Premises & Mobility			6,200,983
Growth			2,684,812
TOTAL Schools Block			258,656,723
Growth Fund			800,000
0.5% to HNB			1,293,284
TOTAL Funding Formula			256,563,439

The formula modelling included in the 2019/20 Funding Consultation Document was based on the estimated Schools Block funding as shown in Table 3. The primary and secondary units of funding are fixed but the actual allocation received will be based on October 2018 pupil numbers.

Of the total Schools Block Allocation received

- Funding will be retained centrally for the ongoing requirements of the primary expansion programme
- 0.5% will be transferred to the High Needs Block to support inclusive schools, dependent on the outcome of the consultation exercise
- An additional £140k, previously allocated via the Looked After Children factor, may be transferred to the High Needs Block and used to provide targeted support for these pupils. Again, this is dependent on the outcome of the consultation exercise.

The balance of funding will be allocated via the funding formula based on the chosen model following the outcome of the consultation exercise with schools. The model may have to be tweaked due to affordability and to fully utilise the funding available. Forum should note that their actual formula funding allocation for 2019/20 may vary from the amounts calculated via the formula calculator that was circulated but should follow a similar trend. Reasons for any variation will include

- Variation in amount to be allocated via the formula
- Change in pupil nos between Oct 17 and Oct 18
- Variation in percentage of pupils attracting funding through other factors
- Final formula unit rates
- Impact of MFG or Cap

4.5 MFG Disapplication

As in previous years we have applied to the ESFA to disapply the MFG for secondary schools who are becoming all through schools. This is to prevent the primary element of the school funding being protected at the secondary funding level. The EFA provide a calculation template which ensures that a consistent methodology is applied across all authorities. This disapplication has been agreed by the ESFA.

Further disapplication requests were submitted in respect of the 0.5% transfer from the Schools Block to the High Needs Block and the transfer of £140k funding previously allocated through the LAC factor to the High Needs Block. These transfers were agreed in principle at the last Forum meeting in October, pending the outcome of the consultation exercise. The ESFA will be updated of the outcome of the consultation following this meeting.

4.6 Growth Fund

New methodology for allocation of the Growth Funding is being introduced for 2019/20. Allocations for 2018/19 were based on historical funding but a new formulaic methodology is being introduced as part of the implementation of the National Funding Formula and funding will now be based on the change in numbers between the current and previous October census. Indicative allocations for 2019/20, based on the change in pupil numbers between October16 and October17, indicates that our funding, with transitional protection, could reduce to £1,405,830.

The estimated cost of funding pupil growth in 2019/20 based on the methodology previously agreed by School's Forum is £0.3m, which is a saving of £0.5m from 2018/19. This saving largely relates to primary school expansions programmes that have now been completed. Schools Forum are asked to agree to the continuation of the Growth Fund at this level for 2019/20.

The growth funding within our DSG Schools Block allocation also funds the annual increase of new academy expansions. Many of these schools are now at full capacity so the impact on the formula allocations is reducing year on year which will help to counteract the reduction in funding expected from the new methodology.

5. High Needs Block

5.1 Indicative DSG Allocation 2019/20

In September 2018, the ESFA published an indicative High Needs Block allocation for 2019/20 of £46.121m. This allocation is based on new formulaic methodology based on pupil nos and other factors and replaces the previous method based on historic spend. This amount may change when the allocations for 2019/20 are confirmed in December.

5.2 High Needs Expenditure 2019/20

High Needs expenditure plans for 2019/20 are currently being reviewed based on current expenditure, new developments and ongoing pressures. A high needs update will be provided at the next meeting but with £0.8m in year pressures and an indicative funding increase of £0.3m we anticipate ongoing pressure in this area.

5.3 Contingency

Over the last 2 years, the level of high needs contingency had been significantly reduced to enable a balanced budget position. It is anticipated that apart from a small element of contingency in the Outborough Placements budgets there will not be sufficient funding to for a contingency for 2019/20. This will mean that any in year pressures and overspends will be added to the accumulated deficit position.

6. Central School Services Block

The Central Schools Services Block will be detailed in a separate report.

7. Services provided by the Local Authority from de-delegated budgets

Under the Schools and Early Years 2012 regulations, certain services can be provided centrally if the Schools Forum, on behalf of the maintained schools in a phase, gives agreement to the de-delegation of part of their budget to fund the service. This approval for de-delegation is required on an annual basis. It should be noted that academies are not required to agree to this process but may buy back services from the Local Authority from their allocated budget share.

Table 4 below details the De-Delegated Services that have been considered and agreed for previous years. More information about these services is included in the CSSB and De-delegation report.

Table 4: De-delegated Services 2019/20

Budget	Sector	Estimated Total Budget	Estimated De-Delegation	Allocation per pupil / FSM *
		£		£
Licenses & Subs – CLEAPPS	Prim & Sec	8,173	4,693	0.16
NQT Recruitment Support & Applicant Tracking System	Prim & Sec	33,830	20,810	0.87
Union Duties	Prim & Sec	148,169	84,981	2.90
Free School Meals Eligibility	Prim & Sec	49,920	27,830	6.4
School Improvement Service	Primary	387,510	251,640	11.94
Support for Schools in Difficulties	Prim & Sec	220,209	126,290	4.31
General Data Protection Regulation	Prim & Sec	158,387	90,836	3.1
Long Service Awards	Prim & Sec	7,664	4,395	0.15

Budgets would be delegated on a per pupil basis except for the Free School Meal Eligibility assessment budget, which will be allocated on FSM eligibility. The per pupil allocations shown above are based on data from the October 2017 census and will be revised once the DfE dataset has been received but the changes are not expected to be significant. The estimated de-delegation is based on the expected academy position at the start of 2019/20.

Following the removal of the de-delegation arrangements for Long Service Awards in 2017/18, several schools have experienced additional, unexpected costs of these awards for staff at their schools. The Education Resources Group recommended that this de-delegation was re-introduced for 2019/20 while the position was assessed.

The maintained schools Forum representatives are asked to consider and agree the de-delegated services for 2019/20.

8. Early Years Block

There are no planned changes regarding Early Years funding and arrangements for 2019/20 will continue as 2018/19 with 95% of funding being allocated to providers. Proposed arrangements for the Inclusion Fund for 2019/20 were included in the funding consultation document and following the outcome it is recommended that the current arrangements are retained.

9. Other Schools Funding

9.1 Pupil Premium

The general Pupil Premium rates for 2019/20 have not been published by the DfE at this stage and it is assumed that Pupil Premium rates for Ever6, Service Children and Post LAC will be provided at the same unit rates as 2018/19. Over the last 3 years there has been a decrease in the overall level of funding provided through this grant and we are expecting this trend to continue in 2019/20, reflecting the year on year decrease in the percentage of pupils eligible for FSM.

9.2 Sixth Form Funding

Funding arrangements for the 2019/20 academic year will be in line with 2018/19.

9.3 Other Grants

It is expected that the following grants will continue in 2019/20 and further information is expected to be announced as part of the funding settlement in December 2018

- Primary PE & Sport Premium
- Universal Infant Free School Meals Funding
- School Improvement Monitoring and Brokering Grant

For the Teachers Pay Grant the per pupil funding rates have already been announced for 2019/20 as detailed in papers for the last meeting. Details regarding the future of this grant are expected to be included as part of the December settlement announcement.

An announcement regarding the details of the Teachers Pension Grant is also expected in December. Indications from the ESFA are that the grant should cover the full pension increase for schools, but we will need to see the detailed allocations before the position can be confirmed.

10. Risks and Uncertainties

The latest budget projections for 2019/20 and based on the latest information available at this time and assumptions regarding the level of DSG funding we will receive. This means that there are several risks and uncertainties surrounding the budget projections which could affect the final 2018/19 budget position. The risks and uncertainties include

- Increase in SEN outborough placement costs
- Final 2018/19 outturn position
- Final DSG settlement for 2018/19
- DfE dataset from October 2018 census

Updates on these issues will be included in future reports to the Forum as soon as information becomes available.

MUNICIPAL YEAR 2018/2019 REPORT NO. 19**MEETING TITLE AND DATE:**

Operational Report

REPORT OF:

Executive Director of People

Contact officer and telephone number:

Diana Weston (Head of Early Years)

E mail: diana.weston@enfield.gov.uk**Agenda – Part:****Item: 5****Subject: Outcome from the consultation to transfer from termly to monthly payments for early education funded places****Cabinet Member consulted:****1. EXECUTIVE SUMMARY**

- 1.1 This report summarises the outcomes from the public consultation regarding the option to transfer from termly to monthly payments for the private, voluntary and independent sector who offer funded yearly education places. The consultation took place during summer 2018 and 43 responses were received.
- 1.2 The consultation was conducted in partnership with the CREST service and made available via the Enfield website. The consultation was also actively shared with all Private, Voluntary and Independent (PVI) sector providers in the borough.
- 1.3 There were a low number of responses to the consultation and those received expressed a preference for remaining with a termly funding arrangement.
- 1.4 This report proposes that there is further engagement with local PVI, with a view to rolling out monthly payments for the sector by September 2019.
- 1.5 The LA will achieve this as described below in the recommendations and through feeding back on the implementation of this system by other Local Authorities.

2. RECOMMENDATIONS

- 2.1 It is recommended that the LA takes the following actions:
- liaise and visit other local L/As who have introduced monthly payment (Barnet and Hertfordshire) liaise and visit L/As (Haringey and Lambeth) who have started a monthly pilot.
 - In January 2019 start a monthly pilot with the 12 PVI providers who volunteered during the consultation
 - From April 2019 schools with nursery provision (who already have monthly payments) to use the Provider Portal for funding
 - Work with the IT team for the Synergy update v18.3 including accessing the final module for 30 hours for parents - Parent Portal (ENROL) which was still in development in 2017 when the main 30-hour module was purchased
 - Further engagement with local PVI, with a view to rolling out monthly payments for the sector by September 2019.

3. Update for School's Forum

- Contact has been made with other local L/As who are in the process of introducing monthly payments including a site to Ealing L/A who introduced this system in April 2018

- A presentation for the introduction of monthly payments has been made to School Business Managers in November 2018 with an offer of a site support visit during the spring term
- All schools with nurseries are now set upon Synergy Provider Portal and some requesting multiple users (max of 3 per school) logins for Synergy the Provider Portal
- As part of the Provider Portal schools will need to confirm that they are compliant with the DfES's 'Early education and childcare Statutory guidance 2018'
- PVIs who indicated during the formal consultation that they were interested in becoming part of the pilot are being contacted with a view of starting the pilot in Spring 2019
- Preparations are being with Enfield's IT team to allow a monthly pilot from Spring 2019 for a group of providers to start
- A timetable for the monthly claims and payments for 2019 is being developed along with a simple users guide and flow chart
- Timetable and guide with flow chart will to be sent out to all schools during spring term to ensure that they are prepared for the changes as from April 2019 In line with the DfE 's statutory guidance of offering 38 weeks of 15 hour early years education(520 hours per year) all nursery child need to be accessing their full entitlement by the September 2019 headcount date
- Update to the PVI forum in March 2019

4. **BACKGROUND**

Enfield Council currently passports DfE funding to our Early Years providers of up to £25m per annum to enable them to deliver Early Years Education as part of the Government's free entitlement offer to parents. In spring 2018 all Local Authorities were asked by the Government, on behalf of the DfE, to consult on the timeliness of payments these to providers. The Government preference was that payments should be made monthly, rather than on a termly basis. The Government's spending review for 2020 has indicated that its current funding methodology for Early Years Funding is likely to be reviewed and revised.

Enfield's current payment model (termly): Using the Enfield Childcare Portal by the beginning of each term providers send in an estimate of the number of funded hours they will be offering during the term.

Enfield's School and Early Years Improvement Service's (SEYIS) Project Team then makes a payment of 60% of this termly estimate by the end of the third week of each term.

The risk to the LA is if the estimated hours are significantly higher than the confirmed actual hours then the LA will have overpaid the Provider. This overpayment will need to be recovered later assuming that the provider is still operating.

By the third week of term providers must send in the actual names and the number of funded hours that they anticipate will be accessing provision during the term. This payment known as 'Actuals' and the additional 40% is paid by the end of the half term of each term. During the term approximately 200 children start or leave a provision and these are known as 'Adjustments' with individual payments having to be made. The termly payment model does include provision for additional funding as new children start, but risks recovering over payments from providers. This method does provide the L/A with a level financial risk and is not in line with the Council's principle of paying for services after they have been delivered.

School Nurseries

School nurseries already receive monthly payments for their nursery children including 2,3-4 and 30 hours. These payments are processed by the Local Authority's Finance Team. With more parents using both schools and PVI for their funded provision there is a need to consolidate the system for making the funded payments, as this will provide a more robust system.

The Provider Portal will also allow schools to add children who start mid-term and will also allow schools to manage the data on the Parent Portal without having to contact the School's Finance team when new children start. In order to achieve this outcome all schools with nursery provision need to be set-up on the Provider Portal, although most schools will already have access to this. Payments to schools will still be processed by the School's Finance team and during the spring term schools will be provided with the Funding Calendar for the year.

The impact of the current payment system for children who start the term at a PVI but then transfer to a school nursery is that the funding is not split between the two settings and is only paid to the school. If a child leaves a school nursery mid-term and transfers to a PVI no payment can be made until the next term.

For some providers parents do not inform them that the child has appeared on a school's termly headcount and thus a provider admits a child in good faith but does not receive this universal payment.

Proposed payment model (monthly): This would involve the LA paying for Early Years Education funded places on a monthly, rather than termly basis. Providers would only need to inform the Council about actual children attending for funded provision.

No payment would be made in August with a total of 11 payments each year. Using this method there is no risk of payments being made by the LA based on estimates. In some L/As, such as Ealing, schools have also been transferred to this payment methodology for all funded provision.

The Consultation also asked for providers to volunteer to become part of a pilot to trial the monthly payment scheme. As only 12 providers agreed to be part of this pilot this would not provide sufficient numbers for this to start in Spring 2019; however, the LA would propose to run pilot during the Spring Term.

Responses to the consultation

By the end of the period 43 (15%) of the 227 Enfield Childcare providers had made a response.

From these:

- 14 (33%) agreed with moving to monthly payments
- 27 (62%) preferred to remain with the existing termly method
- 2 responses (5%) had no preference

4. ALTERNATIVE OPTIONS CONSIDERED

4.1 No change – continue with termly payments. This may not be possible in the long term, due to Government pressure to move to monthly payments, a process which is already being undertaken by a number of neighbouring boroughs.

5. REASONS FOR RECOMMENDATIONS

The Government has expressed a preference for Local Authorities to pursue a monthly payment scheme. It is possible that the Government spending review (to be implemented by 2020) will negatively impact on the Local Authority if a move to monthly payments has not been achieved.

The process of providing termly payments based on the provision of estimates carries a significant financial risk to the Local Authority – 60% is currently paid upfront. This is because there is potential for the LA to overpay providers at the start of the term and rely on repayment plans when this occurs. If a provider subsequently goes out of business, the LA will incur further costs in trying to reclaim the funds.

The public consultation did not provide a sufficient number of responses to be conclusive and those it did generate expressed a preference for remaining with termly payments.

Therefore, this report proposes to carry on paying termly payments in the short term, with a view to implementing a monthly payment scheme by September 2019 across both the PVI and school sectors. This will allow time for further engagement with local providers and an opportunity to learn from other LAs, which have already implemented the change.

6. COMMENTS FROM OTHER DEPARTMENTS

6.1 Financial Implications

The Schools and Early Years Improvement Service has consulted with Education Finance Manager, Head of Admissions, Resources & Development Manager and relevant Business Systems Architect.

6.2 Legal Implications

Not applicable.

6.3 Property Implications

Not applicable.

7. KEY RISKS

The process of providing termly payments based on the provision of estimates carries a significant financial risk to the Local Authority – 60% is currently paid upfront. This is because there is potential for the LA to overpay providers at the start of the term and rely on repayment plans when this occurs. If a provider subsequently goes out of business, the LA will incur further costs in trying to reclaim the funds.

8. IMPACT ON COUNCIL PRIORITIES – CREATING A LIFETIME OF OPPORTUNITIES IN ENFIELD

8.1 Good homes in well-connected neighbourhoods

The provision of funded early education will contribute to addressing both the ‘narrowing the gap’ and the child poverty agendas and will promote resilience in Enfield’s families. Services are made available in various community settings to ensure that neighbourhoods are well-connected.

8.2 Sustain strong and healthy communities

Equipping children with the skills required to start school will allow them to benefit from the educational opportunities on offer and to further their life chances as they grow towards adulthood.

8.3 Build our local economy to create a thriving place

Families are supported by these services to improve life chances and access appropriate opportunities, leading to positive outcomes.

9. EQUALITIES IMPACT IMPLICATIONS

An EQIA has not been undertaken as this report proposes that services are sustained in their current form to continue to meet existing levels of need.

10. PERFORMANCE AND DATA IMPLICATIONS

None.

11. HEALTH AND SAFETY IMPLICATIONS

Not applicable.

12. HR IMPLICATIONS

Not applicable.

13. PUBLIC HEALTH IMPLICATIONS

Not applicable.

Background Papers

APPENDIX A – PUBLIC CONSULTATION ON MONTHLY PAYMENTS TO EARLY YEARS PROVIDERS (SUMMER 2018)

BACKGROUND

The Department for Education's Early Education and Childcare Statutory Guidance for Local Authorities, published in March 2018, states that all councils (that is 'Local Authorities') should move towards making monthly payments for funded childcare.

'Local Authorities' should pay all Providers, particularly childminders, monthly and are expected to do so from September 2018. If a Provider requests and the Local Authority agree an existing alternative sustainable method of payment may be continued'.

CURRENT PAYMENT METHOD

Estimates

At the beginning of each funding period, *providers* receive a payment from our Early Years' Service equivalent to a percentage of their estimated hours (usually 60%) for that funding period, dependent on the timing of the 'headcount day' and the anticipated payment date. The funding periods offers are listed in the table below (see Table 1).

Table 1

Funding period	Time of year
Summer	April - July (inclusive)
Autumn	September – December (inclusive)
Spring	January – March (inclusive)*

* When Easter is late in the year, the Spring funding period will end within April.

Actuals

The *actual* figure is provided from the headcount which is carried out, at least, on a termly basis. We publish and notify providers of future headcounts dates.

The autumn October headcount date takes place on the third Thursday in October, one week after the Maintained Sector's headcount date, to allow providers the opportunity of filling vacancies from their waiting lists of Eligible Children to replace children who have moved as part of the staggered admissions into a maintained school.

Adjustments

During the term appropriately 200 children start or leave a provision and these are known as 'Adjustment' and individual payments have to be made. The termly payment model does include providing additional funding as new children start but at times recovering over payments from providers. This method does provides the L/A with some financial risk and is not in line with the Council's principle of paying for services after they have been delivered

Schools and Early Years Project Team role

- Each term *providers* send in numerous additional adjustments for children who have started or left during a term
- These adjustments can be an issue due to incorrect *estimates* or *actual* data submissions
- This can lead to us needing to recover over-payments
- There is no time limit for *providers* being able to make retrospective claims for children who were not included in previous terms submissions even from previous financial years
- There is no requirement for a *provider's* spring headcount and Census returns to be consistent

Details of the monthly proposal

This would involve us paying for early years education funded places on a monthly, rather than termly, basis. *Providers* will only need to inform us about *actual* children attending for funded provision. No payments will be made in August making a total of 11 payments each year.

What monthly payments could mean to providers

Table 2 below summarises the annual payment cycle for funding the free educational places.

Further details of our proposal:

- *Providers* will only need to inform us about *actual* children attending for funded provision
- The submission window will be open for at least ten working days at the start of each month. During this time providers will be required to review or populate how many hours and weeks they are claiming for each child
- Once submissions are completed and if there are no negative data entries or other anomalies, payments will be released by us on the 25th of most months. This provides three days for funds to reach Providers accounts by the target date 28th of each month
- The exception to this schedule will be December when the payments will be released on the 21st of the month
- The monthly module assumes a 38-week model and does not including school holidays, apart from the first May Day Bank Holiday
- We will inform providers annually of the maximum number of weeks that can be claimed for each month, for example:
 - April is a 2-week month due to Easter holidays, so *providers* will be claiming a maximum amount of 15hrs/30hrs x 2 weeks. For months when there are no holidays these will comprise of 4 weeks
- No payments will be made in August due to the holidays period. Thus, a total of 11 payments each year

PROPOSED ANNUAL FUNDING FOR FREE EDUCATIONAL PLACES FOR TWO, THREE AND FOUR-YEAR OLDS SCHUDULE

Table 2

	April	May	June	July	Aug	Sept.	Oct.	Nov.	Dec.	Jan.	Feb.	March
Portal month	1	2	3	4		5	6	7	8	9	10	11
Payment weeks	2	3	4	4		4	3	4	2	4	4	4
Portal open	01/04	01/05	01/06	01/07		01/09	01/10	28/11	28/11	01/01	01/02	01/03
Portal closed	15/04	15/05	15/06	15/7		15/09	15/10	15/11	13/12	3rd Thurs	15/02	15/03
Payment report downloaded	21/04	21/05	21/06	21/07		21/09	21/10	21/11	15/12	21/01	21/02	21/03
Payment released	25/04	25/05	25/06	25/07		25/09	25/10	25/11	21/12	25/01	25/02	25/03

* Figures for April may be adjusted yearly depending on the date of the spring/Easter Holiday.

If the change is made to move towards monthly payments, we will require time to fully implement the change. It is proposed the changes are implemented on 1 April 2019. This provides sufficient time for a 12-week consultation period and then, if agreed, a six-month implementation timescale, that will enable us to ensure:

- A group of *providers* participate in a pilot monthly payment trial before the system is rolled out across the Borough
- We can provide training and technical support to providers to support these changes during the autumn and spring terms
- We can support providers who may have financial issues with these changes. This may be through making available an Advance Application Fund to enable providers to access a one off advanced payment during the transitional period. The advance payment will then be paid back by reducing the monthly payment by an agreed amount and period. Providers wanting to make an application will need to submit the forms in advance of 1 April 2019 and include details of how they intend to adapt to the new monthly funding arrangements, as well as confirm their plan for the repayment of this advanced payment

Our considerations

Similar to some other council, we use the Synergy software product for the collection and payments of funded childcare. In Spring 2018, the additional '30-hour' module was purchased and during the past four months we have been working to ensure these new programmes are fit for purpose; Until these systems were embedded, we were not able to consider engaging with childcare providers for a 1 September 2018 implementation date. We propose moving to monthly payments for all providers by 1 April 2019.

During the past four months the Early Years Team has been intensively working with the Enfield Early Years Childcare Portal (EYC Provider Portal) to:

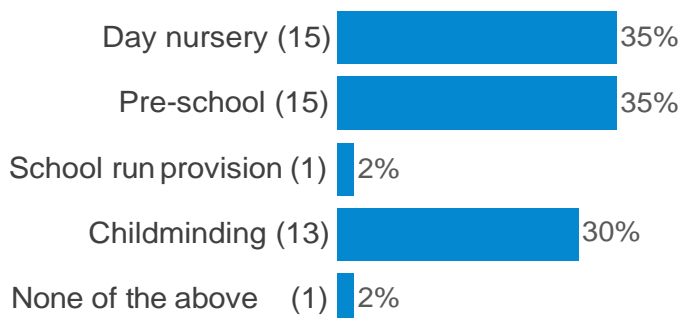
- Ensure all outstanding issues have been resolved
- Upgrade the software and allow Enfield to move towards monthly payments

In developing our proposal, our key objectives were to:

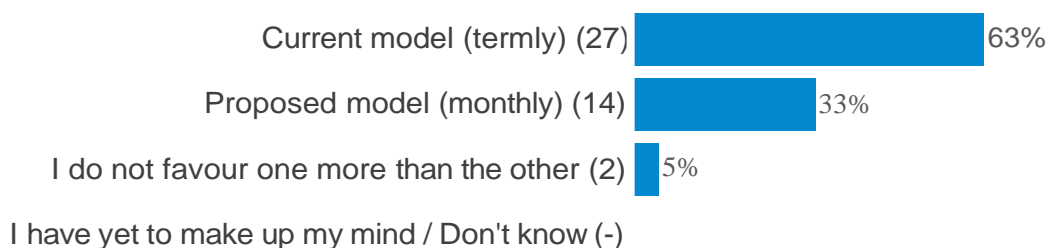
- Minimise the risk of overpayments and underpayments
- Ensure we have the capacity to move towards a monthly payment model for early education funded places
- Minimise the impact on administrative processes for the sector and ourselves
- Ensure providers are not disproportionately financially affected by any changes
- Be consistent with both our Digital Strategy and Corporate Plan

3.2 Consultation outcomes

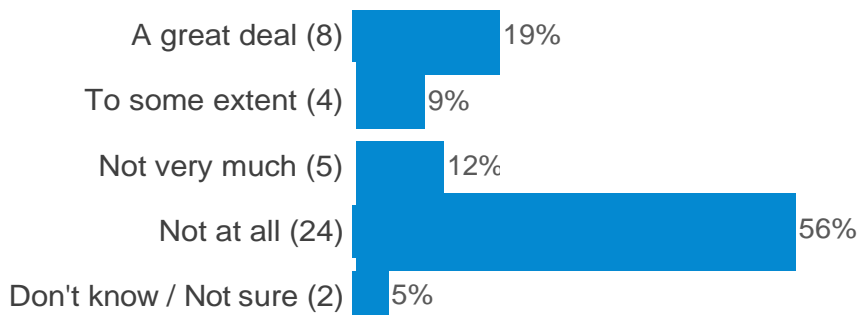
Which of the following best describes the service you provide?



What is your preferred payment model?



To what extent do you think the new proposal could have a positive impact on your business/organisation?



Please explain why you think this could have a positive impact on your business?

I think I prefer the monthly payment so that is clear how much payment I would be receiving for each child. find it tedious having to work out figures for families where they have a balance to pay.

Payments calculations will be easily to plan for the year for both myself and patents. .

It will be far better to have payments on a monthly basis, as it will be consistent on our accounts and easier to keep a steady income. The new model will allow the nursery to keep on top of the budget and easily allocate payments per month.

It would allow me to be able to better forecast my incomings and will make it easier for me to understand what is expected as it will be given monthly.

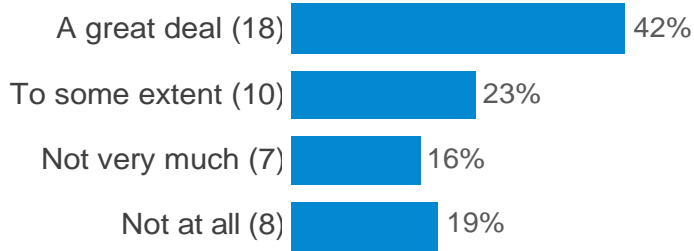
It would probably make accounting easier as I bill parents on a monthly basis. This is, of course, provided payment is made promptly and IN ADVANCE. If this is not the case, then I would not be happy with the proposal. We all have bills to pay and it would be imperative that payments from the Council could be relied upon.

It would have positive impact on my business as it would allow me to continue working without running into financial difficulty as this is currently the case. The late payments mean I have to borrow money to continue to meet overheads.

It will be easier to budget and pay my bills

Receiving monthly payments will be in line with other childminding fees, and will therefore make it easier to manage my finances and plan ahead for my business.

To what extent do you think the implementation of the new proposal could have a negative impact on your business?



Please explain why you think it could have a negative impact on your business?

Due to the two members of the Early Years team that could and did help with any frequent funding

problems leaving the employment of Enfield Council I am still trying to sort out over payments for the spring and summer term even though I provided the requested data at the correct time and in the way requested. I am still battling to get accurate help as I have a mix of people giving me suggestions and no direct answers to my questions. The problems have only increased with the increased reliance on the provider portal with the introduction of the additional 15 hours. I cannot see that when I get problems in the future I am ever going to be up to date with accurate payments. I still have to pay staff during August so I had presumed monthly payments meant 12 payments the same as staff wages. 11 payments immediately create problems for small providers.

cash flow

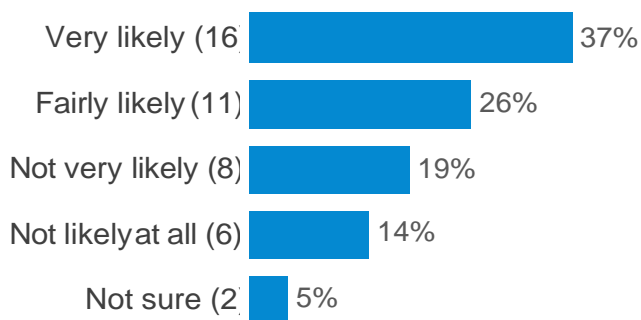
Most if not all our business is done termly, so to change would cause many problem, and if it is working why change it.

Extra administrative burden and extra financial costs as a result. Additional pressure due to 11 deadlines rather than 3.

Our business operates 38 weeks a year, i.e. term time and we find this works well for our settings and would not like to change to monthly. The impact on our business by receiving monthly payments would need us to have more full time staff in view of the increased workload as we operate from hired premises and have to vacate the premises by 4.00pm. This would undoubtedly have a detrimental effect on our business.

Will need to spend more time dealing with funding which will have a negative impact on the quality of the setting. We operate term time only; our business model is set up for term time so current payment works very well. Changing the payment structure will mean changing will mean changing the whole structure of our business. Preschools and nurseries are already overloaded with paperwork if monthly payments are introduced it will make our jobs a lot harder and a lot more time-consuming. Current pay structure works very well no need to change

How likely is it that your business will face additional financial challenges if the proposal is implemented?



Please explain why you think it could have a negative impact on your business?

It would be much more work.

Will involve more work every month

More time consuming to implement and more likely to make mistakes that could lead to loss in funding.

The whole process is very time consuming. To make it monthly would increase the time factor a great deal. This would impact on the general running of the Nursery in every way

Extra work to be done each month implementing info rather than just every term.

It will have a negative impact as it takes a long time to input the children's details on the provider portal just once a term so to do this monthly would mean spending more time on the portal and less time with the children.

It will involve further administrative duties which are already a huge burden. It will have an impact on paying additional administrative hours. It will have a negative effect on cashflow. It will give parents less flexibility with regard start dates.

It would be extremely time consuming if we had to submit claims monthly, especially with the 30 hours. At a recent forum held by LB Enfield the general consensus identified that most providers thought monthly payments would be onerous and this was NOT welcomed by day nurseries or preschools. Although it was recognised that it might be of financial benefit to childminders.

More staff time spent reconciling on a regular basis and submitting information online far more frequently if we move to monthly Far easier to budget, cost control and plan ahead when you know your termly funding amount/revenue Current termly model is far easier to manage with or without adjustments Current system works well operationally from a providers point of view, no reason to change it

It would take too long to keep inputting details every month to get paid, much simpler if it is done termly

It will mean more administrative work for me having to keep processing on a monthly basis.

I feel i would have make adjustments for paying bills

An increase in administration time and costs which will have a negative impact on the nursery provision. Another deadline to add to the several that already have to be met will cause an even bigger increase the workload for the manager.

Increased financial burden in completing monthly input. Increased amount of time completing admin

It will be an extra administration burden with extra financial costs as a result, also additional pressure due to having eleven deadlines instead of the original three.

Extra administrative burden and extra financial costs as a result

If you have any suggestions on how we could minimise this potential negative impact, let us know.

Make the system more straight forward to use and automatically remember information so that it doesn't have to be re-inputted.

Leave things as they are

Continue with the current system.

Leave things as they are, why fix it if it's not broken!

Stick to termly model. It works very well for the providers.

KEEP IT AS IT IS.

Not having to log in and process on a monthly basis UNLESS there has been any changes

keep the current format

Keep the payment system as it currently is. Monthly may suit childminders who only have comparatively few children but it would be a huge problem for nursery providers.

Keep to termly payments as only 3 lots of input rather than 11

Please keep to the current system of three deadlines it is so much better than eleven and will reduce admin time and costs.

Keep current system as 3 deadlines better than 11 and will reduce admin time and costs

Monthly payments has to mean monthly payments. Having one person responsible for helping a group of settings would help when the problems happen.

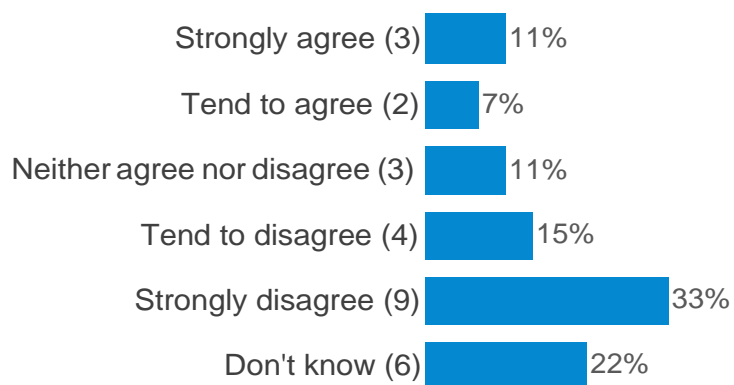
deposit before any changes made.

Keep to current system

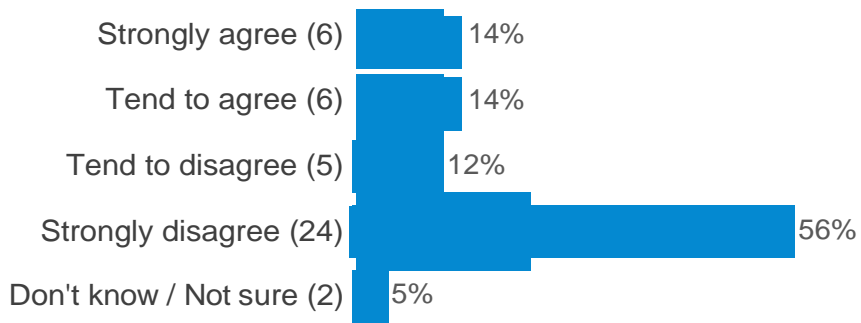
do not change current pay structure

Information: *We can support providers who may have financial issues with these changes. This may be through making available an Advance Application Fund to enable providers to access a one-off advanced payment during the transitional period. The advance payment will then be paid back by reducing the monthly payment by an agreed amount and period. Providers wanting to make an application will need to submit the forms in advance of 1 April 2019 and include details of how they intend to adapt to the new monthly funding arrangements, as well as confirm their plan for the repayment of this advanced payment.*

Question: To what extent do you agree or disagree the Advanced Application Fund will be helpful in addressing issues you may face if the proposal is implemented?



To what extent do you agree or disagree the proposal will be less of an administrative burden to your business than that you currently experience in administering termly payments?



Do you have an alternative proposal you would like to share with us?

Yes (7)  16%

No (36)  84%

Let us know your alternative proposal.

Leave it the same as it is now.

Keep the system as it is. It may not be perfect but it is far better than the proposed change to monthly payments. We are already overburdened with admin please don't add to that pressure.

Leave the system as it is

Leave it as it is!

stay as it is OR provide 12 monthly payments OR have someone would could support and sorted, not added , to our frustrations when problems occur.

leave it as it is

If you have any other comments you would like to make but you feel you have not had the opportunity to do so elsewhere in this questionnaire, let us know.

I do not wish to change to a monthly payment system due to my already huge workload and would result in even more time away from the children in my care.

I have a setting in Hertfordshire and have been receiving monthly payments from them as they joint the proposal in September 2017. I find that this works so much better and I am able to forecast and understand payments that are due more clearly. Thanks

We have enough paperwork to deal with. Will we have to input children every month or will they stay in the system and we just have to add new children.

We have only had one academic year to get to grips with the 30 hours and it would be an unwelcome change now.

Please implement this proposal as soon as possible

I would like to stay with the arrangement we have now. As it cuts down on workload and I am happy to receive payment twice in the term

Participating in the pilot would be an extra burden we can well do without as we sincerely hope that proposed plans do not go ahead

Running the pilot implies that the decision has already been made to move to monthly payments

Running a pilot scheme implies that the consultation is only paying lip service and therefore is not a real consultation. Leave the system as it is.

Leave as is Running a pilot implies that the consultation is only paying lip service and not real consultation

Running a pilot implies that the consultation is only paying lip service and is not a real consultation. Leave the system as it is.

Other providers in Borough that pay monthly have said that they do not like it. It has had a negative impact on their business.

If the decision is made to implement the proposal, would you be interested in participating in the pilot?



Please explain why you think this could have a positive impact on your business?

I think I prefer the monthly payment so that is clear how much payment I would be receiving for each child. I find it tedious having to work out figures for families where they have a balance to pay. Payments calculations will be easily to plan for the year for both myself and patents. . It will be far better to have payments on a monthly basis, as it will be consistent on our accounts and easier to keep a steady income. The new model will allow the nursery to keep on top of the budget and easily allocate payments per month. It would allow me to be able to better forecast my incomings and will make it easier for me to understand what is expected as it will be given monthly. It would probably make accounting easier as I bill parents on a monthly basis. This is, of course, provided payment is made promptly and IN ADVANCE. If this is not the case, then I would not be happy with the proposal. We all have bills to pay and it would be imperative that payments from the Council could be relied upon. It would have positive impact on my business as it would allow me to continue working without running into financial difficulty as this is currently the case. The late payments mean I have to borrow money to continue to meet overheads.

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MUNICIPAL YEAR 2018/2019 – REPORT NO. 20**MEETING TITLE AND DATE:**

Schools Forum – 12 December 2018

REPORT OF:

Director of People Services

Contact officer: Sangeeta Brown

Email: sangeeta.brown@enfield.gov.uk**Recommendation**

To note the workplan.

Agenda – Part: 1	Item: 6
Subject: Schools Forum: Workplan	
Wards: All	

<u>Meetings</u>		<u>Officer</u>
May 2018	Healthy Pupil Capital Fund Schools Financial Support Fund Strategy and delivery of school places	AD SB KR
July 2018	Schools Budget – Outturn (2017/18) Schools Balances – Update (2017/18) Pupil Places Apprenticeship Pooling Resources	LM SB KR KT
October 2018	Schools Budget: 2018/19 – Monitoring School Funding Review (2018/19) School Funding Arrangements (2019/20) Annual Audit – Update	SB SB SB SB
December 2018	Schools Budget: 2018/19 – Monitoring Schools Budget: 2019/20: Update School Funding Arrangements (2019/20) Central Services Budgets	LM LM SB CS
January 2019	Local Authority Funding Schools Budget: 2018/19 – Monitoring Schools Budget: 2019/20: Update Scheme for Financing - Revisions	CS LM LM SB
March 2019	Schools Budget: 2019/20: Update High Needs Places	LM SB
May 2019	TBC - Single Item Agenda	
July 2019	Schools Budget – Outturn (2018/19) School Funding Review (2019/20) Funding Arrangements (2020/21)	LM SB SB

Dates of Meetings

Date	Time	Venue	Comment
9 May 2018	5:30 - 7:30 PM	Chace Community	
11 July 2018	5:30 - 7:30 PM	Chace Community	
03 October 2018	5:30 - 7:30 PM	Waverley Schools	
12 December 2018	5:30 - 7:30 PM	Chace Community	
16 January 2019	5:30 - 7:30 PM	Waverley Schools	
06 March 2019	5:30 - 7:30 PM	Waverley Schools	
15 May 2019	5:30 - 7:30 PM		Provisional
July 2019	5:30 - 7:30 PM		

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